

# How to conduct a cohort study to assess the potential risk factors of Middle East respiratory syndrome coronavirus infection among health and care workers in a health-care setting

Protocol, tools and implementation guidance



Unity Studies



World Health  
Organization



# **How to conduct a cohort study to assess the potential risk factors of Middle East respiratory syndrome coronavirus infection among health and care workers in a health-care setting**

Protocol, tools and implementation guidance

# How to conduct a cohort study to assess the potential risk factors of Middle East respiratory syndrome coronavirus infection among health and care workers in a health-care setting: protocol, tools and implementation guidance

ISBN 978-92-4-009832-9 (electronic version)

ISBN 978-92-4-009833-6 (print version)

© World Health Organization 2024

Some rights reserved. This work is available under the Creative Commons Attribution-NonCommercial-ShareAlike 3.0 IGO licence (CC BY-NC-SA 3.0 IGO; <https://creativecommons.org/licenses/by-nc-sa/3.0/igo>).

Under the terms of this licence, you may copy, redistribute and adapt the work for non-commercial purposes, provided the work is appropriately cited, as indicated below. In any use of this work, there should be no suggestion that WHO endorses any specific organization, products or services. The use of the WHO logo is not permitted. If you adapt the work, then you must license your work under the same or equivalent Creative Commons licence. If you create a translation of this work, you should add the following disclaimer along with the suggested citation: “This translation was not created by the World Health Organization (WHO). WHO is not responsible for the content or accuracy of this translation. The original English edition shall be the binding and authentic edition”.

Any mediation relating to disputes arising under the licence shall be conducted in accordance with the mediation rules of the World Intellectual Property Organization (<http://www.wipo.int/amc/en/mediation/rules/>).

**Suggested citation.** How to conduct a cohort study to assess the potential risk factors of Middle East respiratory syndrome coronavirus infection among health and care workers in a health-care setting: protocol, tools and implementation guidance. Geneva: World Health Organization; 2024. Licence: [CC BY-NC-SA 3.0 IGO](https://creativecommons.org/licenses/by-nc-sa/3.0/igo).

**Cataloguing-in-Publication (CIP) data.** CIP data are available at <https://iris.who.int/>.

**Sales, rights and licensing.** To purchase WHO publications, see <https://www.who.int/publications/book-orders>. To submit requests for commercial use and queries on rights and licensing, see <https://www.who.int/copyright>.

**Third-party materials.** If you wish to reuse material from this work that is attributed to a third party, such as tables, figures or images, it is your responsibility to determine whether permission is needed for that reuse and to obtain permission from the copyright holder. The risk of claims resulting from infringement of any third-party-owned component in the work rests solely with the user.

**General disclaimers.** The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of WHO concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted and dashed lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers' products does not imply that they are endorsed or recommended by WHO in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by WHO to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall WHO be liable for damages arising from its use.

Design and layout by Lushomo.

# Contents

<b>List of tables</b> .....	<b>v</b>
<b>List of figures</b> .....	<b>v</b>
<b>Acknowledgements</b> .....	<b>vi</b>
<b>Background</b> .....	<b>vii</b>
<b>Protocol summary</b> .....	<b>ix</b>
<b>1. Scientific background and rationale</b> .....	<b>1</b>
<b>1.1 Study objectives</b> .....	<b>3</b>
1.1.1 Primary objectives.....	3
1.1.2 Secondary objectives .....	3
<b>2. Methods</b> .....	<b>4</b>
<b>2.1 Study design, timing and duration</b> .....	<b>5</b>
<b>2.2 Study population and recruitment</b> .....	<b>5</b>
2.2.1 Study population .....	5
2.2.2 Eligibility criteria .....	6
2.2.3 Recruitment, follow-up and data collection .....	6
<b>2.3 Specimen collection and laboratory evaluations</b> .....	<b>10</b>
2.3.1 Specimen collection .....	10
2.3.2 Specimen transportation .....	11
2.3.3 Laboratory evaluations .....	12
2.3.4 Sample storage .....	14
<b>2.4 Data management</b> .....	<b>14</b>
<b>2.5 Ethical considerations</b> .....	<b>14</b>
2.5.1 Informed consent .....	15
2.5.2 Risks and benefits for participants .....	16
2.5.3 Reporting of serious adverse events, including death of a participant .....	16
2.5.4 Confidentiality .....	17
2.5.5 Prevention of infection .....	17
2.5.6 Mitigation of stigmatization of participants .....	18

<b>3. Statistical analysis</b> .....	<b>20</b>
3.1 Sample size considerations .....	21
3.2 Epidemiological indicators (study outcome measures) .....	21
3.3 Interpretation of results .....	23
<b>4. Dissemination of results</b> .....	<b>25</b>
<b>5. Composition of study team</b> .....	<b>27</b>
<b>6. References</b> .....	<b>29</b>
<b>7. Annexes</b> .....	<b>33</b>
<b>Annex 1: Additional information and references</b> .....	<b>34</b>
<b>Annex 2: Questionnaires</b> .....	<b>37</b>
Questionnaire 1: Identification of possible exposures to MERS-CoV in a health-care facility .....	37
Questionnaire 2: Identification of potentially exposed health and care workers (HCW) .....	41
Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient .....	42
Questionnaire 4: Symptom diary for health and care worker (HCW) contacts of confirmed or probable MERS cases (Day 1 – 21) .....	59
Questionnaire 5: HCW exposures to the confirmed and probable MERS case since the time of enrolment .....	61

# List of tables

Table 1: Type of specimen to be collected and timing of collection .....	11
Table 2: Epidemiological characteristics to be calculated from this case-ascertained investigation.....	21
Table 3. Coordination matrix of roles and responsibilities in Country X .....	28

# List of figures

Figure 1: Prospective cohort investigation timeline with timing of data (questionnaires, see Annex 2) and specimen collection .....	9
--	---

# Acknowledgements

The development of this document was led by Abdullah Al-Sayafi and Sophie von Dobschuetz, at the World Health Organization (WHO) Headquarters (HQ), Emerging Diseases and Zoonoses Unit, Epidemic and Pandemic Prevention and Preparedness Department in Geneva, Switzerland, as well as by Anna Funk (University of Calgary, Calgary, Canada).

The development of this document was supported by:

- Ahmed Ali Alghazal (Prince Saud Bin Jalawy Hospital, Ministry of Health, Al-Hasa, Saudi Arabia), Elmoubashar Farag (Ministry of Public Health, Doha, Qatar), Bart Haagmans (Erasmus Medical Center, Rotterdam, Netherlands [Kingdom of the]), Mazharul Islam (Ministry of Municipality, Doha, Qatar), Euzebiusz Jamrozik (University of Oxford, Oxford, United Kingdom of Great Britain and Northern Ireland), Ruth McCabe (University of Oxford, Oxford and Imperial College London, London, United Kingdom), Claire M. Midgley (United States Centers for Disease Control and Prevention, Atlanta, Georgia, United States of America), Joseph Sriyal Malik Peiris (the University of Hong Kong, Hong Kong Special Administrative Region, China), Reina Sikkema (Erasmus Medical Center, Rotterdam, Netherlands [Kingdom of the])
- several colleagues from WHO including: Hala Abou El Naja (WHO Regional Office for the Eastern Mediterranean, Cairo, Egypt), Isabel Bergeri (Epidemic and Pandemic Prevention and Preparedness Department, HQ), Nicki Boddington (Epidemic and Pandemic Prevention and Preparedness Department, HQ), Alice Simniceanu (Epidemic and Pandemic Prevention and Preparedness Department, HQ), Lorenzo Subissi (Epidemic and Pandemic Prevention and Preparedness Department, HQ), Maria Van Kerkhove (Epidemic and Pandemic Prevention and Preparedness Department, HQ)

All external contributors submitted to WHO a declaration of interest disclosing potential conflicts of interest that might affect, or might reasonably be perceived to affect, their objectivity and independence in relation to the subject matter of the guidance. WHO reviewed each of those and concluded that none could give rise to a potential or reasonably perceived conflict of interest related to the subjects covered by the guidance.

Thanks are due to the United States Agency for International Development (USAID) for providing financial support.



# Background

Middle East respiratory syndrome coronavirus (MERS-CoV), which was first identified in 2012, is considered an emerging virus. The emergence of a new virus means that understanding transmission patterns, severity, clinical features and risk factors for infection are limited. To address these unknowns, WHO has provided a number of protocols for MERS-CoV investigations. Data collected using these investigation protocols will be critical to refine recommendations for case definitions and surveillance, characterize key epidemiological features of MERS-CoV, help understand the geographical extent of MERS-CoV, its severity, the spectrum of the disease, and its impact on the community; and to inform guidance for application of countermeasures such as case isolation and contact tracing. These protocols are designed to rapidly and systematically collect and share data in a format that facilitates comparison across different settings globally.

They are available on the WHO website here: <https://www.who.int/initiatives/mers-cov-investigations-and-studies>

MERS-CoV investigation and study protocols, tools and implementation guidance currently available include:

**[How to conduct surveillance and investigations of human infection with Middle East respiratory syndrome coronavirus using WHO's Investigations and Studies \(Unity Studies 2.0\) protocols;](#)**

**[How to investigate the first few X cases and contacts of human infection with Middle East respiratory syndrome coronavirus;](#)**

**[How to conduct a case-control study to assess the potential risk factors related to human illness caused by Middle East respiratory syndrome coronavirus;](#)**

**[How to conduct a cohort study to assess the potential risk factors of Middle East respiratory syndrome coronavirus infection among health and care workers in a health-care setting;](#)**

**[How to sample surfaces in health-care settings for Middle East respiratory syndrome coronavirus; and](#)**

**[How to conduct a cross-sectional study of Middle East respiratory syndrome coronavirus infection in populations occupationally exposed to dromedary camels.](#)**

Please contact [MERSHQ@who.int](mailto:MERSHQ@who.int) for further information.

All WHO protocols for MERS-CoV are available on the [WHO website](#) together with technical guidance documents.

This protocol incorporates elements of previously published interim guidance entitled, [Assessment of potential risk factors of Middle East respiratory syndrome coronavirus \(MERS-CoV\) infection among health care personnel in a health care setting – Version January 2019](#), providing additional aspects of investigation implementation and detailed questionnaires. It reflects updated scientific knowledge about MERS-CoV, the results and experiences of similar studies conducted in several countries. The document was also adapted from and supplemented by using protocols developed and used during the COVID-19 pandemic through [WHO’s Investigations and Studies \(Unity Studies\): a standardized preparedness framework for an effective and proportionate response](#), as well as experiences and lessons learned during the COVID-19 pandemic.

# Protocol summary

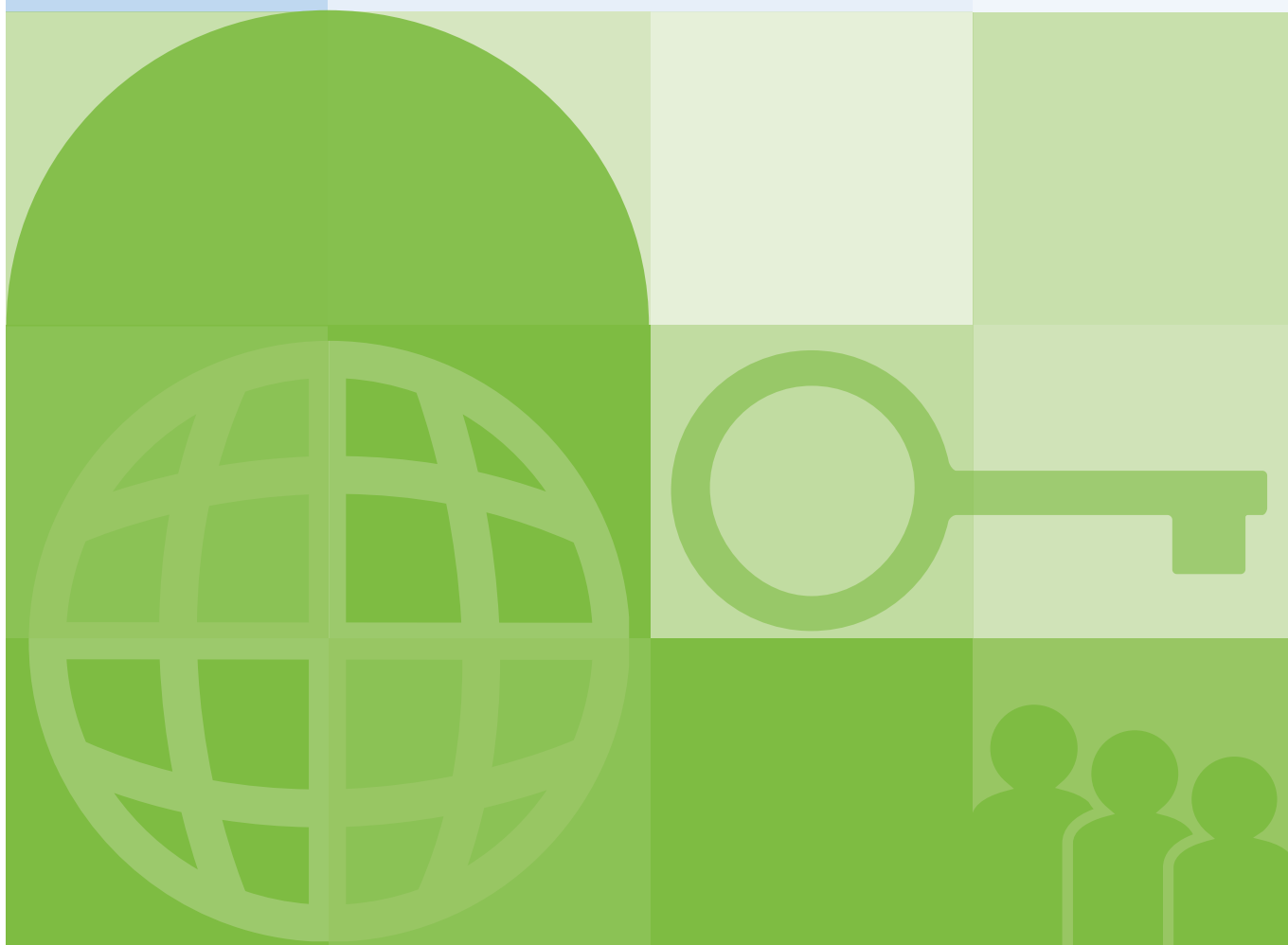
<b>Cohort study to assess potential risk factors of MERS-CoV infection among health and care workers (HCW) in a health-care setting</b>	
<b>Study population</b>	Health and care workers (HCW) in a health-care setting in which a patient with a laboratory-confirmed MERS-CoV infection is receiving care, who have any possible contact to the MERS-CoV positive case, regardless of symptoms
<b>Potential output and analysis</b>	Understand transmissibility in health-care settings through estimating: <ul style="list-style-type: none"> <li>• secondary infection rate (SIR) among HCW;</li> <li>• range of clinical presentation and risk factors for infection;</li> <li>• serological response following MERS-CoV infection</li> <li>• identification of possible routes of transmission</li> </ul>
<b>Study design</b>	Cohort study (protocol is written as a prospective cohort, but this study is likely to be conducted as both a prospective and/or retrospective cohort)
<b>Study duration</b>	From the day that the investigation (data collection) begins, data and specimen collection is complete 21-28 days after the last MERS-CoV-positive contact (this could be the original HCW case, or a secondary HCW case).
<b>Information and specimens to be obtained from participants</b>	<p><b>Data:</b> Multiple questionnaires at baseline collect information such as: clinical symptoms; exposures in the health-care facility, including contact with confirmed case(s); use of personal protective equipment, other epidemiological data. HCW also complete daily symptom diaries throughout follow-up.</p> <p><b>Specimens:</b></p> <ul style="list-style-type: none"> <li>• Multiple serum samples per participant</li> <li>• Respiratory specimen(s) to diagnose current MERS-CoV infection if a participant is symptomatic</li> </ul>

**Implementation tips** are provided in boxes throughout the document.

This is a *protocol template* – the user should read through the template and guidance and then modify (and make choices about) the methods according to the local context in which this study will be carried out. If being adapted for use as the investigation protocol, the user should remove any non-relevant sections and modify the language appropriately (e.g. Change the phrase “Investigators should create a detailed map(s) of the facility; within this map(s) and its legend(s), the following details should be included:...” to “We have created a detailed map of facility X, where the MERS-CoV positive patient was identified on [date], this map includes the following details:...”). Background information referenced in this document should be checked for updates by investigators at the time of protocol implementation.



# 1. Scientific background and rationale





As of April 2024, over 2600 laboratory confirmed human cases of Middle East respiratory syndrome coronavirus (MERS-CoV), have been reported (1). MERS-CoV is a zoonotic virus and dromedary camels are the single known maintenance host and primary reservoir of MERS-CoV (2–7), but the route of transmission to humans is unknown (7). The virus appears to be circulating widely in dromedary camel populations throughout the Middle East and Africa, and has also been detected in a few countries in South and Central Asia (2). The majority of human cases have been reported from Saudi Arabia (1).

The relative novelty and sparsity of MERS-CoV infections, as well as the fact that surveillance of this infection has typically focused on patients with severe disease, has limited our understanding of the full spectrum of the disease, including the extent of mild or asymptomatic forms of infection. Severe MERS-CoV infection is often characterized by severe pneumonia with acute respiratory distress syndrome (ARDS) and other life-threatening complications (8). Since 2015, WHO has updated its guidance for contact tracing, and, as a result, more asymptomatic or mild forms of the disease have been reported. To date, approximately 20% of MERS cases that have been reported to the WHO are asymptomatic or mild (1); though the true number of infections characterized by this presentation is expected to be much greater. Mild symptoms are non-specific and can include headache, tiredness, fever, mild cough, sore throat and runny nose. Some patients may present with gastrointestinal symptoms such as mild diarrhoea (9–11).

To date, there is no evidence of sustained human-to-human transmission of MERS-CoV. Although MERS-CoV appears to be inefficient at transmitting between humans in the general population, limited human-to-human transmission has occurred and been documented in several clusters in health-care facilities in Jordan, Saudi Arabia, the Republic of Korea and the United Arab Emirates (12); occasionally, this has resulted in significantly large outbreaks (13–16). Additionally, one instance of nosocomial transmission was documented in France in 2013 (17). Since 2020, instances of nosocomial transmission have only been documented in Saudi Arabia (1). Historically, the majority of all reported human MERS-CoV infections have occurred through human-to-human transmission in health-care settings, and as of November 2022, 17% of human MERS cases were in health-care workers (1). However, in recent years most cases reported have been sporadic or primary (with a reported link to camel exposure or their products). Person-to-person transmission has also been identified through investigations of clusters of cases in households and other settings (1, 18–27).

Factors associated with amplified human-to-human transmission in health-care facilities have included poor infection prevention and control (IPC) compliance by health and care workers (HCW) (12, 28). Inversely, adherence to IPC procedures, and rapid identification and isolation of individuals positive for MERS-CoV has limited transmission in some health-care settings (7, 12). During past MERS-CoV nosocomial outbreaks, a number of environmental contamination studies evaluating MERS-CoV virus persistence on surfaces and other mediums in health-care settings were carried out in affected hospitals. Through these MERS-CoV ribonucleic acid (RNA) has been detected on various medical devices, ventilation equipment, facility surfaces, as well as in hospital air samples (28–31).

Recurrent secondary transmission of MERS-CoV to humans, particularly in health-care facilities, call for further investigations to understand secondary transmission to and among HCW. Further studies are needed to investigate MERS-CoV superspreading events as well as to reinforce existing, and develop novel, IPC methods. (7) The investigation outlined below aims to evaluate the extent of MERS-CoV infection among HCW and identify factors that facilitate transmission in health-care facilities. This will inform the strengthening of IPC practices and help to prevent large nosocomial outbreaks.

## 1.1 Study objectives

### 1.1.1 Primary objectives

The primary objectives of this study are to:

1. Better understand the extent of human-to-human transmission among health and care workers (HCW) by estimating the secondary infection rate (SIR)\* for HCW contacts of MERS cases at the individual level
2. Determine the risk factors for MERS-CoV infection in HCW

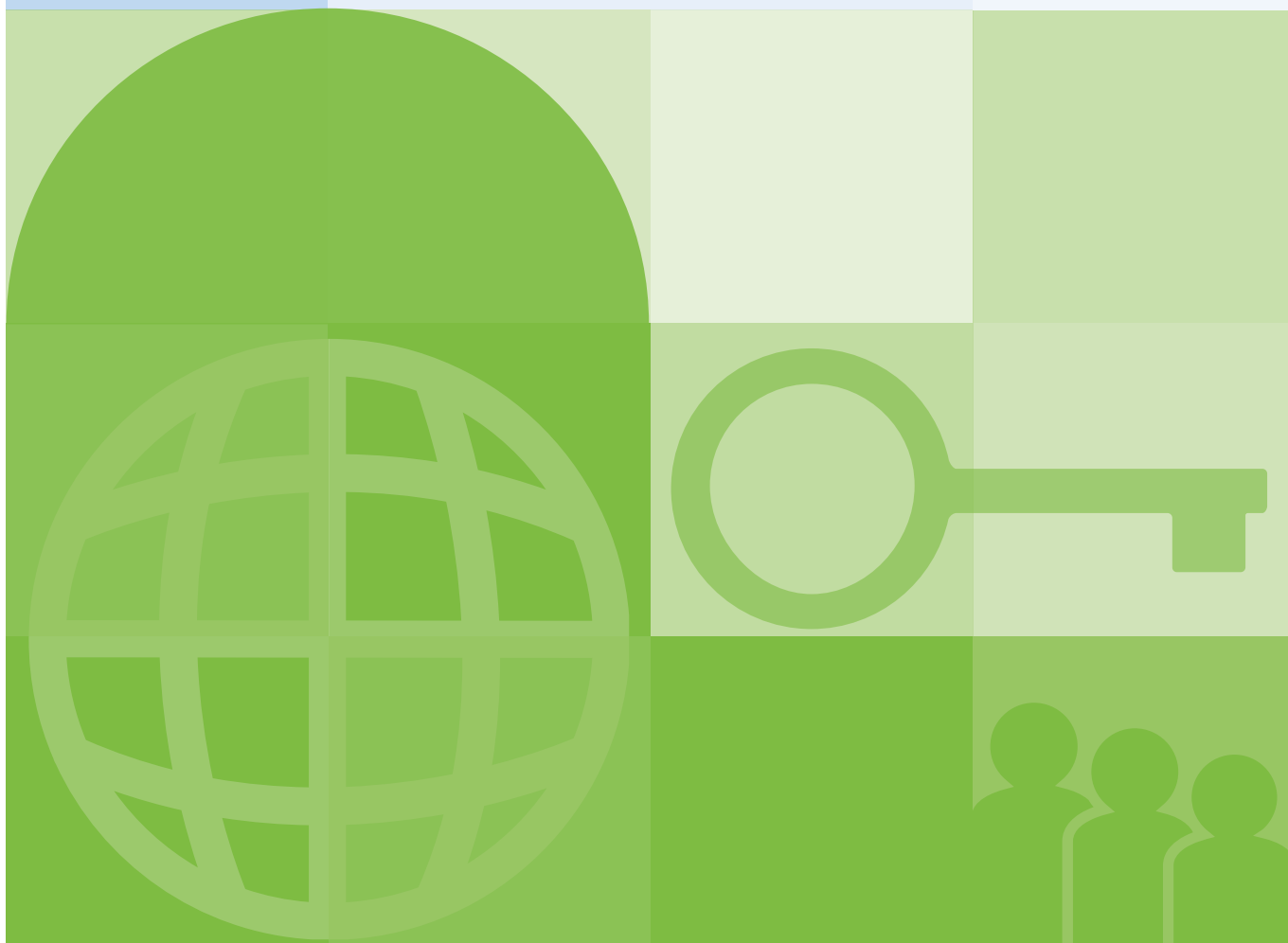
\* In this context the *secondary infection rate (SIR)* is a measure of the frequency of new infections of MERS-CoV among contacts of confirmed cases in a defined period of time, as determined by a positive MERS-CoV result. In other words, it is the rate of contacts being infected, assessed through polymerase chain reaction (PCR) and/or serological assays on paired samples.

### 1.1.2 Secondary objectives

This investigation can provide rich data to assess secondary objectives, including, but not limited to:

3. Characterize the range of clinical presentations of MERS-CoV infection among HCW, including: frequency of symptoms, severity of illness, duration of illness, proportion of asymptomatic and sub-clinical infections
4. Determine the serological response to MERS-CoV of HCW with symptomatic and asymptomatic infection, including quantification of the proportion of individuals in whom seroconversion occurs. See Annex 1 for more background information on antibody kinetics
5. Evaluate the effectiveness of infection prevention and control (IPC) measures among HCW

# 2. Methods







## 2.1 Study design, timing and duration

This is a cohort study of all identified HCW contacts working in a health-care facility in which a patient with a laboratory-confirmed MERS-CoV infection is receiving care; this protocol is written as for a prospective cohort study, however, it is likely that parts of the investigation will need to be conducted retrospectively as well (see the tip box on page 10). Note that this study can be conducted for potential HCW contacts in health-care facilities at varying levels of a health system (e.g. primary, secondary and tertiary care facilities) – not just in hospitals. It is intended to provide epidemiological, virological and serological information which will inform the extent of, and identify risk factors for, MERS-CoV human-to-human transmission and infection among HCW.

The duration of the study follow-up is a minimum of 1 month in the instance that no HCW contacts become infected with MERS-CoV (i.e. no secondary transmission). In the instance that there are secondary cases amongst HCW contacts, the study would end approximately 1 month (21 to 28 days) after the final MERS-CoV positive HCW contact is identified; therefore, this could be multiple months after the start of the study. For the full length of time it will take to conduct this study, the mentioned duration would then be added to the time it takes to set-up the study (approvals, training), process specimens, perform data analysis and generate reports.

## 2.2 Study population and recruitment

### 2.2.1 Study population

The study population is derived from the identification of all health and care workers (referred to in this protocol template as HCW) who have worked in a health-care facility in which a patient with a laboratory-confirmed MERS-CoV infection is receiving care AND who may have had any exposure to the affected patient (i.e. contacts); note that this includes 'protected exposure' with PPE, and further details are provided on this in further sections. Once a case of MERS-CoV infection has been identified in a health-care facility, a list of all HCW with any exposure to the affected patient will need to be drawn up (see [Annex 2, Questionnaire 2](#)). This should be conducted in consultation with supervisors and colleagues using duty rosters and possibly the medical file of the patient to identify all the areas of the health-care facility the patient has visited and to ensure that all relevant HCW can be identified and recruited into the study.

Health and care workers (HCW) definition: For the purpose of this study the definition of HCW should not be too restrictive so that a large number of potentially exposed persons are included in the study. For this reason, HCW should be defined as all staff in the health-care facility involved in the provision of care for a MERS-CoV-infected patient, including those who have been present in the same area as the patient as well as those who may not have provided direct care to the patient but who have had contact with the patient's body fluids,

potentially contaminated items or environmental surfaces. This will include clinicians and medical practitioners, allied health-care professionals and auxiliary HCW such as cleaning and laundry personnel, x-ray physicians and technicians, clerks, phlebotomists, respiratory therapists, nutritionists, social workers, physical therapists, laboratory personnel, cleaners, admission or reception clerks, patient transporters, catering staff etc.).

This protocol is designed to assess risk factors for infection among HCW with potential exposure to MERS-CoV. It does not include visitors to the health-care facility, or other patients who may have had contact with a MERS-CoV-infected patient or with the patient's materials. See the Reference on page 3 for a link to other MERS-CoV investigation protocols that can be used for other study populations.

If the patient with MERS-CoV infection consulted or received treatment at any other health-care facility for this illness, these health-care facilities need to be contacted and the HCW from these facilities recruited into the study with similar considerations to those mentioned above.

### 2.2.2 Eligibility criteria

**Inclusion criteria:** 1) Health and care workers (of any type) working at a facility with a MERS-CoV infected patient, AND 2) Has any potential exposure to a MERS-CoV infected patient hospitalized or previously hospitalized in the health-care facility or to the patient's materials.

**Exclusion criteria:** Unable to give informed consent.

*Note:* The concept of “protected exposure” should be avoided when selecting the study participants. In particular, wearing personal protective equipment (PPE) should not be considered an exclusion criterion, as one of the risk factors to be studied is the effectiveness of PPE.

### 2.2.3 Recruitment, follow-up and data collection

*Figure 1* and the details below provide an overview of the study timeline and all data and specimen collection time points in the instance that this investigation can be carried out as a prospective cohort (i.e that it can be started within 14 days of identification of the MERS-CoV positive patient at a health-care facility).

**Prior to the start of the investigation** (within 14 days of identifying the MERS-CoV positive patient): Investigators should visit the health-care facility to understand the management, infrastructure, personnel and IPC policies and the possible exposures HCW may have had to MERS-CoV. A data collection tool, **Questionnaire 1 (Annex 2)**, will help in formulating hypotheses about exposures; this should be filled out through a general interview of HCW, including supervisors and colleagues. During the same visit, and in conjunction with the



interview(s) described above, all potential participants (HCW with any possible exposure to the MERS-CoV positive patient) should be identified and recorded using **Questionnaire 2 (Annex 2)**.

**Day 1:** After all potential HCW participants have been identified and listed in **Questionnaire 2 (Annex 2)**, informed consent from all participants will be obtained (see **Section 2.5.1** for more details). For consenting HCW, biological sampling (baseline serum) will be conducted immediately (see Figure 1 and **Section 2.3** for more details). All HCW recruited into the study will need to complete **Questionnaire 3 (Annex 2)** which covers: demographics, professional duties in the health-care facility, symptoms of respiratory disease, use of PPE, compliance to IPC measures (triage processes, hand hygiene, environmental cleaning etc.) and specific exposures to the MERS-CoV infected patient or patient's materials. Additional exposure (including exposures to confirmed or suspected human cases in the community and to other potential sources such as animals) questions will be included for all study subjects in the questionnaire.

**Day 1 – Day 21:** Each day, each participating HCW will fill out **Questionnaire 4** (symptom diary, **Annex 2**). If an HCW reports symptoms during this time period, the study investigators should be notified directly or via the appropriate health-care facility supervisors. The symptomatic HCW will then have biological specimens (nasopharyngeal or oropharyngeal swab, lower respiratory tract specimen) taken which will have molecular testing conducted immediately (see **Section 2.3**) to confirm whether or not the HCW is MERS-CoV positive. The isolation and clinical management of any HCW who reports symptoms will be guided by the standards of care at the site at which the investigation is being conducted (and should include restriction from work while tests are pending).

- For any HCW testing positive<sup>1</sup> for MERS-CoV by molecular methods during the course of the study, all HCW contacts should be identified with **Questionnaire 2 (Annex 2)**. For newly identified (not yet participating) HCW, all follow-up procedures, starting from consent and baseline sample and data collection on Day 1, should be carried out. For HCW already included in the study, their length of follow-up (symptom diary) should now be extended to 21 days past their most recent MERS-CoV positive contact, and they should be asked to fill out section B of Questionnaire 3 again (details of exposure to this individual positive for MERS-CoV, see **Annex 2**). Figure 1 shows an example of these new study 'branches' which would result from a HCW testing MERS-CoV positive.

**Day 21:** At 21 days following the HCW's exposure to a MERS-CoV positive patient (or HCW) they will have a second serum sample taken (paired serum). In order to more precisely document seroconversion, multiple subsequent paired serum samples should be taken from HCW participants with more than one MERS-CoV exposure – the first paired serum sample taken at 21 days past the first exposure, then 21 days past the next MERS-CoV exposure, and so on (see **Section 2.3** for more details).

---

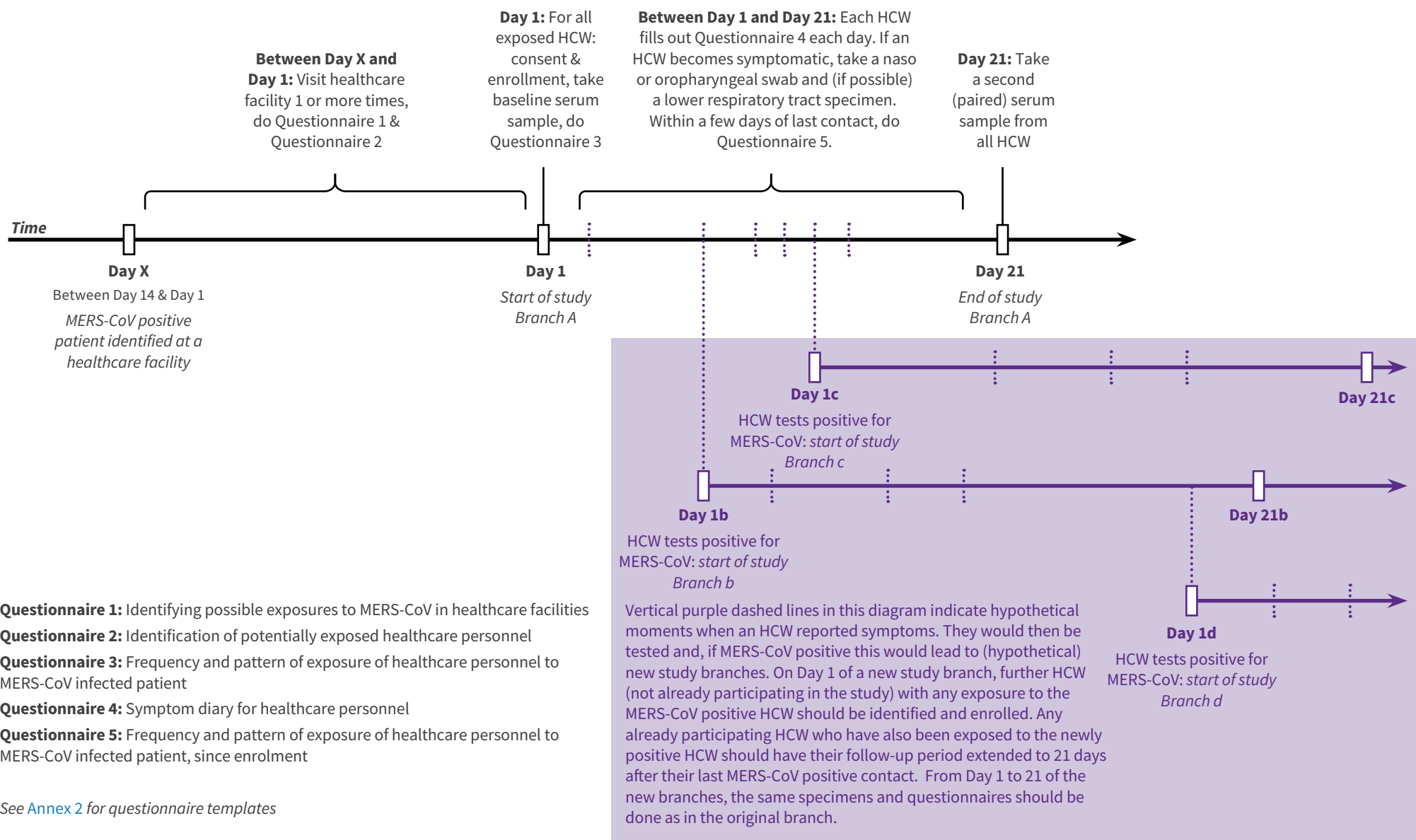
<sup>1</sup> If any participants return a positive polymerase chain reaction (PCR) test for MERS-CoV, they should be reported to the national health authorities under the requirements of the International Health Regulations



*Note:* Annex 2 contains templates of all questionnaires; these are not exhaustive but outline data collection required to provide key epidemiological insights, they should be reviewed by study investigators and adapted depending on the local setting and outbreak characteristics. **Questionnaires 1 and 2** can be used to further adapt and specify **Questionnaire 3** (individual-level questionnaire for all participating HCW); after adaptation and before finalization, it is ideal if **Questionnaire 3** can be pilot tested in a small group of participants (e.g. 5-10 HCW), and then revised if needed before being administered to all participants.



**Figure 1: Prospective cohort investigation timeline with timing of data (questionnaires, see Annex 2) and specimen collection**



## 2.3 Specimen collection and laboratory evaluations

All those involved in collection and transporting specimens should be trained in safe handling practices and spill decontamination procedures. Appropriate hand hygiene and PPE must be carried out and worn by study personnel during the collection of any specimen (see [Section 2.5.5](#) for more details). Full details for laboratory testing, specimen collection, biosafety, sample shipment and reporting of test results for MERS-CoV can be found here: <https://www.who.int/publications/i/item/10665-259952>

If any participants return a positive polymerase chain reaction (PCR) test for MERS-CoV, they should be reported to the national health authorities under the requirements of the International Health Regulations, and all contacts (regardless of whether or not they are participants in this study) followed up for 14 days. Each newly confirmed case of MERS-CoV infection will initiate a new contact investigation as outlined above. See: <https://www.who.int/publications/i/item/10665-178252>

### 2.3.1 Specimen collection

Table 1 below outlines required and optional specimen collection from all HCW included in the study, in order to answer the study objectives.

As per [section 2.2.3](#), all participants will be monitored daily for symptoms ([Questionnaire 4, Annex 2](#)) for 21 consecutive days after their last contact with a MERS patient (or HCW who is now a MERS case) or with the patient's (or HCW's) materials. If symptoms are reported by the HCW contact during the follow up period, a respiratory specimen (for molecular testing) will ideally be taken immediately: this includes combined nasopharyngeal and oropharyngeal swabs for case confirmation, and, ideally, specimens from the lower respiratory tract (e.g., induced sputum, aspirate, lavage, as appropriate).

*Note:* While lower respiratory tract specimen are more difficult to collect, evidence of shedding can be seen over a longer time period in this sample type, therefore, collection is highly recommended where possible. However, upper respiratory tract specimens are a valid alternative sample type, particularly in early stages of infection.


**Table 1: Type of specimen to be collected and timing of collection**

Specimen collection	Timing of collection
<b>Serum sample</b> (required)	<b>Baseline (Day 1):</b> at the time of recruitment - as soon as possible after exposure. <b>Day 21 to 28 (once):</b> collection of a second ('paired') serum sample from the same individuals who had baseline samples taken. If one HCW has multiple MERS-CoV positive contacts, this should be conducted repeatedly, once during the 21 to 28 days following each contact.
<b>Combined nasopharyngeal and oropharyngeal swabs</b> (if HCW symptomatic)	<b>Day X (≤21 days since exposure):</b> if HCW contact experiences any symptoms during follow-up (within 21 days of exposure to a MERS-CoV positive case) a respiratory specimen should be collected and tested as soon as possible
<b>Lower respiratory specimen</b> (optional)	<b>Day X (≤21 days since exposure):</b> if HCW contact experiences any symptoms during follow-up (within 21 days of exposure to a MERS-CoV positive case) a lower respiratory sample can also be collected as soon as possible

When collecting nasopharyngeal and oropharyngeal specimens, swabs specifically designed for collecting specimens for virology must be used. These swab kits should contain virus transport medium. The nasopharyngeal and oropharyngeal swabs should be placed in the same tube to increase the viral load.

All specimens will be collected according to standard procedures and labeled with a coded identification number that will also be recorded on the interview questionnaire (Annex 2). Date and time of collection, location and name of person collecting the specimen will also be recorded. Specimen tubes will be stored temporarily on cool packs carried by the study teams until they can be transported to the laboratory. All those involved in the collection and transportation of specimens should be trained in appropriate personal protection, safe handling practices and spill decontamination procedures.

**Implementation tip** For serum samples, the specific volume of blood is to be determined by study personnel, bearing in mind that the minimum required volume is 5 mL.

Some serologic assays or full genome sequencing may not be possible to perform in country, therefore specimens should be aliquotted so that specimens remain in country and only aliquots are sent to a reference laboratory.

### 2.3.2 Specimen transportation

For each biological sample collected, the date and time of collection, the conditions for transportation and the date and time of arrival at the study laboratory will be recorded. Specimens should reach the laboratory as soon as possible after collection. If the specimen is not likely to reach the laboratory within 72 hours, specimens should be frozen, preferably at -80 °C, and shipped on cool packs. It is, however, important to avoid repeated



freezing and thawing of specimens. Serum should be separated from whole blood and can be stored and shipped at 4 °C or frozen to -20 °C or lower and shipped on dry ice. It is recommended to aliquot samples prior to freezing, to minimize freeze thaw cycles.

Transport of specimens within national borders should comply with applicable national regulations. International transport of MERS-CoV specimens should follow applicable international regulations as described in the [WHO Guidance on Regulations for the Transport of Infectious Substances 2021-2022](#). Appropriate Material Transfer Agreements will need to be signed if samples are to be transported between laboratories within or outside the country.

**Implementation tip** For labeling and shipping of specimens – it is key to use a basic triple packaging system, correct marking and labeling of specimens and use of appropriate shipping documents. The receiving laboratory should always be contacted before specimens are shipped.

### 2.3.3 Laboratory evaluations

A MERS case may be laboratory confirmed by detection of viral nucleic acid or by serology. WHO case definitions for MERS-CoV can be found here: <https://www.who.int/emergencies/outbreak-toolkit/disease-outbreak-toolboxes/mers-outbreak-toolbox>

The following laboratory testing recommendations are subject to further updates as diagnostic tests and approaches become available. Please check the [Middle East respiratory syndrome coronavirus \(MERS-CoV\) \(who.int\)](#) for updates.

**Molecular testing:** Three real-time reverse transcription (rRT)-PCR assays for routine detection of MERS-CoV have been developed and their details published; assays targeting upstream of the E protein gene (upE) and assays targeting the open reading frame 1a (ORF 1a) are considered equally sensitive and are recommended for screening. To date, these rRT-PCR assays have shown no cross-reactivity with other respiratory viruses including human coronaviruses and are all suitable to detect all known MERS-CoV strains in humans and dromedary camels. See [Annex 1](#) for more background information about MERS-CoV molecular testing methods, other assays and complimentary confirmation methods.



### Implementation tip – genome sequencing

Where possible, MERS-CoV full genome sequencing from PCR-positive biological samples may provide further details on the genetic relationship of the viruses detected with other viral isolates. A RT-PCR assay for MERS-CoV targeting a 615 bp spike fragment may already provide a phylogenetic clustering of MERS-CoV variants comparable to that of full-length genomes, but this may often be insufficient for detailed molecular epidemiological investigations. Full genomes obtained by Next Generation Sequencing (NGS) using sets of specific primers to amplify the full genome for instance delivers a more detailed picture of genetic differences between viruses. Virus grown in culture may be used as an alternative source of the viral RNA.

Acquired sequence information should be shared and reported via publicly available databases; doing so will contribute valuable information to the global effort to understand MERS-CoV epidemiology and perform risk assessment.

Material and more detailed methods for MERS-CoV sequencing are described in the following bibliography of further reading:

Corman VM, Muller MA, Costabel U, et al. Assays for laboratory confirmation of novel human coronavirus (hCoV-EMC) infections. *Euro Surveill* 2012;17(49):20334.

Cotten M, Watson SJ, Kellam P, et al. Transmission and evolution of the Middle East respiratory syndrome coronavirus in Saudi Arabia: a descriptive genomic study. *Lancet* 2013;382:1993–2002.

Cotten M, Watson SJ, Zumla AI, et al. Spread, circulation, and evolution of the Middle East respiratory syndrome coronavirus. *mBio* 2014;5.

Smits SL, Raj VS, Pas SD, et al. Reliable typing of MERS-CoV variants with a small genome fragment. *J Clin Virol* 2015;64:83-7.

**Serologic testing:** Serological testing can be carried out in collaboration with an external laboratory partner as needed. Multiple serological assays will be needed to confirm seropositivity, and may include fluorescent antibody testing, enzyme linked immunosorbent assay (ELISA), luciferase assay, or other. In addition, all samples should be tested using a neutralization assay. These four types of assays each have advantages and disadvantages but appear to have similar utility. Until their interoperability and comparability are better understood, more than one assay should be performed for each serum sample. Testing will be conducted for antibodies against MERS-CoV specific proteins of the spike and nucleocapsid. At least two aliquots of sample should be made and at least one kept for future analysis. See [Annex 1](#) for more background information about MERS-CoV serological testing methods as well as antibody kinetics. An algorithm has been developed to indicate which combinations of serological test results can be considered “positive” for the purpose of comparative analysis, see: <https://www.who.int/emergencies/outbreak-toolkit/disease-outbreak-toolboxes/mers-outbreak-toolbox>



**Implementation tip** Only a limited number of laboratories have the facilities for MERS-CoV serologic testing and therefore collaboration between countries without current capacity and designated reference laboratories is possible. Collaboration is at the discretion of Member States carrying out the investigation, but WHO strongly supports such collaboration and would willingly facilitate collaboration and possible shipment elsewhere for testing. For serologic testing, if capacity for performing ELISA and/or neutralization does not exist in country, WHO is able to facilitate coordination and collaboration with an external laboratory. Please contact [MERSHQ@who.int](mailto:MERSHQ@who.int)

### 2.3.4 Sample storage

In the case that serum samples cannot be processed immediately they can be stored for up to 5 days at 2-8 °C after which they should be stored at -80 °C (see section on specimen collection and transport above for more details). If -80 °C storage is not available the samples can be stored at -20 °C. It is recommended to aliquot samples prior to freezing, to minimize freeze thaw cycles. The storage of serum specimens in domestic frost-free freezers should be avoided, owing to their wide temperature fluctuations.

## 2.4 Data management

Demographic and occupational exposure data will be stored in a secure, password-protected database in the country where it is collected. Patient and participant identity will be protected and only aggregate summary data released publicly. Original data collection forms will be kept in locked storage.

## 2.5 Ethical considerations

Ethical requirements will vary by country. In all cases, national and local regulations need to be followed. Investigators should confirm the requirements before implementation which may cover national ethics review only, or national and institutional review.

**Implementation tip** Ethical approval may be obtained from relevant ethical or institutional review boards in advance using a generic protocol such as this one before an outbreak occurs. If an outbreak occurs, the study design, questionnaires, sampling and consent forms can be modified rapidly to reflect the current outbreak situation. This will likely have to be resubmitted for ethical approval, but if the generic protocol has already been approved, the process is possible that second review may be more rapid, minimizing delays to the start of investigations.

WHO guidelines on ethical issues in public health surveillance can be found here: <https://www.who.int/publications/i/item/9789241512657>

### 2.5.1 Informed consent

The purpose of the investigation needs to be explained to all individuals identified for recruitment into the investigation. Informed consent will be obtained from all individuals willing to participate in the investigation before any procedure is performed as part of the investigation, by a trained member of the investigation team.

Consent, or assent for children under the legal age of consent, will be obtained according to the country's national ethical requirements and thus need to comply with local regulations:

- **Consent** for:
  - o adults; and
  - o children under the legal age of consent (usually this is 18 years but it will vary from country to country) from a parent or legal guardian.

**Implementation tip** The age of consent may vary by country. Check the requirements of local, regional or national authorities.

- **Assent** from:
  - o children and adolescents under the legal age of consent, but who can understand the implications of informed consent and go through the necessary procedures. This is usually children over the age of 12 to 13 years, but this will vary from country to country. A consent form from a parent or legal guardian will also be collected.

All eligible HCW should be considered for participation in the investigation, regardless of whether or not they are well or unwell, or receiving medical care for confirmed or suspected MERS-CoV. For individuals who lack the decisional capacity to consent at the time of the investigation, consent or assent by proxy (parent or guardian or spouse or family member) may be considered so as to not unduly exclude individuals from participating in the investigation. However, some sites may decide to exclude those with severe disease who are unable to complete the questionnaires if it is not possible to find a proxy. In either case, the exclusion criteria need to be clearly stated in the adapted protocol, and in the reporting of the results.

An appropriately trained member of the investigation team will need to explain to each participant that participation in the investigation is voluntary and that they are free to withdraw, without justification, from the investigation at any time without consequences and without affecting professional responsibilities. A member of the investigation must also be able to answer any questions individuals may have related to the procedures of the investigation.

The processes related to withdrawal of a participant need to be described both in the protocol and in the information for the participant. In this description it must be made clear that a participant can withdraw from the investigation, without justification, at any time by informing one of the members of the investigation team. The contact details of one of the members of the investigation need to be provided in the information for the participant. If any participant decides to withdraw during the investigation, the samples collected and data should be discarded, except if the participant indicates that these can be kept for the purpose of conducting the investigation, or for future studies of other infectious pathogens.

Informed consent will seek approval to collect: blood, a nasopharyngeal and oropharyngeal sample, (possibly) lower respiratory tract specimens, demographic data and other epidemiological data (e.g. behaviors such as adherence to IPC) intended for the purpose of this investigation. It will also seek approval that samples may be shipped outside of the country for additional testing and, in accordance with national regulations, that samples may be used for future research purposes. The investigators will need to describe in the consent or assent forms how data and specimens will be securely stored. Informed consent will also indicate that any suspected or confirmed MERS-CoV infection may be notified to the national health authorities under the requirements of the International Health Regulations.

### 2.5.2 Risks and benefits for participants

This investigation poses minimal risk to participants, involving collection of a small amount of blood and upper (and possibly lower) respiratory tract specimens. The direct benefit to the participant is the possibility for identifying evidence of MERS-CoV infection, which, if the infection were acute, would allow for early monitoring and treatment. The primary benefit of the study is indirect, in that data collected will help improve and guide efforts to understand human-to-human transmission of MERS-CoV and prevent further spread of MERS-CoV in health-care facilities, particularly among HCW, and inform best IPC practices.

**Implementation tip** If local Institutional Review Board (IRB) regulations permit, participants may be offered reimbursement for reasonable out of pocket expenses related to the investigation; however, the level of compensation should not be such that participants are unduly influenced into consenting to participate.

### 2.5.3 Reporting of serious adverse events, including death of a participant

Any serious adverse event, including death, of a participant during the investigation period, needs to be immediately (within 24h) reported to the Principal Investigator and the institution responsible for the investigation. The contact details for reporting serious adverse events needs to be provided to each member of the investigation team.



In accordance with national regulations, any serious adverse event, may also have to be reported to the local ethical review committee, if the adapted protocol was not deemed exempt from local ethical review committee.

#### 2.5.4 Confidentiality

##### **National laws and regulations for data protection requirements must be followed.**

Participant confidentiality needs to be maintained throughout the investigation. All subjects who participate in the investigation should be assigned a study identification number by the investigation team for the labelling of questionnaires and specimens. The link of this identification number to individuals will be maintained by the investigation team and the Ministry of Health (or equivalent), separately from the investigation files, and will not be disclosed elsewhere.

Data and specimens will be securely stored nationally. If the data are shared by the implementing organization with WHO or any agency or institution providing support for data analysis, data shared will include only the investigation identification number and not any identifiable information. Data sharing outside the country will be managed according to national laws and regulations, as appropriate.

Article 45 of the IHR (2005) describes the “treatment of personal data”. Person identifiable data collected under the IHR should be kept confidential and processed anonymously, as required by national law. However, such data may be disclosed for assessments and management of public health risks, provided the data are processed fairly and lawfully.

#### 2.5.5 Prevention of infection

**Participants.** As part of the recruitment process, all eligible participants should be provided information as to how MERS-CoV spreads and what measures can be taken to avoid infection. This should include information as to where to seek medical advice related to the investigation, the symptoms associated with MERS-CoV infection and what to do if symptoms develop during the investigation.

**Investigation personnel.** All personnel involved in the investigation need to be trained in IPC procedures (standard, contact, droplet and airborne precautions, as determined by national or local guidelines). These procedures should include proper hand hygiene and the appropriate use of personal protective equipment (such as surgical masks, gloves, long sleeve gowns, eye protection etc.), as per national or local guidelines, provided to members of the investigation team, not only to minimize their own risk of infection when in close contact with individuals with high-risk for MERS-CoV, but also to minimize the risk of spread to other participants in the investigation. Any investigation personnel who develop symptoms consistent with MERS-CoV should be immediately isolated, tested with



a nasopharyngeal and oropharyngeal swab and managed as a suspect case of MERS-CoV according to the national or local guidelines.

**Implementation tip** Where possible, to mitigate infection risk, investigation personnel may consider administering questionnaires for participants over telecommunications (e.g. phone, videoconferencing, etc.). The feasibility of this strategy would depend on logistical factors (e.g. study personnel available and/or investigation partners) as well as local context (likelihood that all participants have phones or computers).

For example, an initial in-person visit by a study team member may include informed consent and biological sampling, with a phone-interview for the other questionnaires later on the same day, or the following day. This will work particularly well if, in any case, the study personnel doing biological sampling is not the same as the one doing the questionnaires.

WHO technical guidance on IPC specific to MERS-CoV can be found here: <https://www.who.int/publications/i/item/10665-174652>

### 2.5.6 Mitigation of stigmatization of participants

Stigma during MERS-CoV outbreaks involves negative social effects on a person or group due to the (real or perceived) presence of infection and/or risks of infection to others. Stigma can be particularly significant for pathogens such as MERS-CoV that are associated with large potential risks to individuals and communities and therefore significant negative social effects during outbreaks.

Individuals enrolled in MERS-CoV investigations or studies may face risks of stigma. Investigators, along with the relevant national or regional public health authorities, should therefore consider the stigma-related risks faced by individuals and weigh these against the benefits of the investigation. Enrolment of individuals in investigations and studies requires an ethical judgement that the likely public health benefits of enrolment outweigh additional risks specifically associated with the investigation, including those related to stigma. Measures to reduce stigma may include anonymity of enrolment to protect participants. However, full anonymity may not be possible due to the presence of staff involved in the investigations and public health measures (e.g., isolation). Public engagement regarding the disease and/or the investigation taking place, if carefully conducted, may also help to reduce stigma (e.g., by clarifying that infected individuals do not pose risks to others after the resolution of acute infection). For more reading on this subject, please consult the following resources:



- Collective Service (International Federation of Red Cross and Red Crescent Societies (IFRC), United Nations Children’s Fund (UNICEF), World Health Organization (WHO) and Global Outbreak Alert and Response Network (GOARN) project): <https://www.rcce-collective.net/>
- Guidance for managing ethical issues in infectious disease outbreaks. Geneva: World Health Organization; 2016 (<https://apps.who.int/iris/handle/10665/250580>)
- WHO community engagement framework for quality, people-centred and resilient health services. Geneva: World Health Organization; 2017 (<https://apps.who.int/iris/handle/10665/259280>)

The investigators will need to provide specific information on how the risks of stigmatization will be mitigated as part of the implementation of the investigation and the communication of the findings.

# 3. Statistical analysis





The following section discusses sample size considerations, the epidemiological indicators that can be calculated with the data collected through this study (sometimes called ‘study endpoints’) and the statistical analyses that should be performed to do so.

## 3.1 Sample size considerations

The study-specific sample size will be determined by the number of HCW with any possible exposure to the confirmed MERS patient(s). Every effort should be made to include all HCW who have been or are in contact with confirmed MERS patients to maximize the statistical power of the investigation and to minimize bias in the determination of study outcome estimates.

## 3.2 Epidemiological indicators (study outcome measures)

Table 2 below provides an overview of the epidemiological characteristics that can be measured as part of this investigation. Not all of these will be a resulting outcome of each specific study implemented using this protocol – as that will depend on which aspects of this protocol are implemented.

**Table 2: Epidemiological characteristics to be calculated from this case-ascertained investigation**

Study Objective	Epidemiological characteristics	Definition	Comments, limitations
<b>1. Better understand the extent of human-to-human transmission of MERS-CoV among health and care worker (HCW)</b>	Secondary infection rate (SIR; also called secondary infection incidence)	A measure of the frequency of new cases of MERS-CoV infection among the HCW contacts of a confirmed case in a defined period of time.	<p>The numerator is the number of HCW confirmed to have MERS-CoV infection, while the denominator will be determined as the total number of HCW enrolled as contacts of each case under investigation.</p> <p>This estimate represents an overall risk of infection among HCW contacts for a defined period of time.</p>

Study Objective	Epidemiological characteristics	Definition	Comments, limitations
<p><b>2. Determine the risk factors for MERS-CoV infection in HCW</b></p> <p>AND</p> <p><b>3. Evaluate the effectiveness of infection prevention and control (IPC) measures among HCW</b></p>	<p>Unadjusted association of the risk factor (or protective factor, e.g. IPC for objective #5) with an HCW contact becoming infected with MERS-CoV  <b>OR</b> adjusted or unadjusted odds ratios or risk ratios  <b>OR</b> unadjusted risk differences</p>	<p><b>Unadjusted (bivariable) associations:</b> an assessment of whether MERS-CoV infection is more frequent (i.e. a higher proportion) among those with specific exposures (e.g. characteristics, risk factors, IPC level) vs in those without.</p> <p><b>Odds or risk ratio:</b> odds or risk of a positive test in those with the risk or protective (IPC) factor vs odds or risk of a positive test in those without the risk or protective (IPC) factor. Regression models including other factors of interest or key baseline characteristics will give an adjusted (preferable) risk or odds ratio.</p> <p><b>Risk difference:</b> Risk of past or present infection in those with the risk or protective (IPC) factor subtracted by risk of past or present infection in those without the risk factor or protective (IPC) factor.</p>	<p>The significance of bivariable (unadjusted) associations between risk or protective (IPC) factors for infection can be estimated using the chi-square statistics or 2-sided Fisher's exact test. However, expression of the associations as a risk or odds ratio with 95% confidence intervals is preferred over only reporting p-values of significance tests. Unadjusted risk and odds ratios can be generated using univariable logistic regression.</p> <p>Multivariable logistic regression can be used to identify independent risk or protective (IPC) factors. These models adjust for known or potential confounders (e.g. baseline characteristics like age of HCW, or other infection risk factors and/or IPC behaviors of the HCW); however, the use of multivariable logistic regression is limited by your sample size. Adjusted models are preferable.</p> <p>A note on evaluating the effectiveness of IPC measures among HCW: while IPC measures are likely to be enforced across all HCW (i.e. no 'control' group), it may be of interest to compare varying levels of IPC measures among HCW. For example, there may be differences in IPC measures by HCW type, and there may be different levels of IPC used in different compartments of the facility (i.e. emergency room, ward, intensive care unit, etc.).</p>
<p><b>4. Characterize the range of clinical presentations of MERS-CoV infection among HCW</b></p>	<p>Frequency of each symptom type, severity of illness, duration of illness, proportion of asymptomatic and sub-clinical infections</p>	<p><i>Frequency of each symptom type</i> – proportion of infected HCW with the specific symptom over total # of infected HCW.</p> <p><i>Severity of illness</i> – proportion of infected HCW with indicators of severe illness (see comments) over total # infected HCW.</p> <p><i>Duration of illness</i> – mean (average) or median number of days that symptoms are experienced across all HCW.</p> <p><i>Proportion of asymptomatic and sub-clinical infections</i> – proportion of infected HCW with no symptoms (or very mild symptoms) over total # infected HCW.</p>	<p>For severity of illness, this may involve summarizing a variety of dichotomous (yes or no) standard severity outcomes such as hospitalized vs not hospitalized, or 'needed ventilation' vs 'did not need ventilation', or survival. Established respiratory illness scales (either already used for MERS-CoV or published for other respiratory illnesses) could also be used – e.g. mild vs moderate vs severe acute respiratory distress syndrome, and others.</p>

Study Objective	Epidemiological characteristics	Definition	Comments, limitations
<b>5. Determine the serological response of HCW with symptomatic and asymptomatic MERS-CoV infection</b>	Serological response to MERS-CoV infection  Proportion of infected HCW who seroconvert	<i>Serological response</i> - change in serum level (increase or decrease in titre) of specific antibodies to MERS-CoV over a period of time  <i>Seroconversion proportion</i> – the number of HCW with MERS-CoV antibodies (serum sample) detected at 21+ days via serum sample, over total number who were positive via molecular testing	Serological response as defined here can only be calculated with the addition of further specimens (serial <sup>a</sup> serum sampling over the first 21 days, and extending past 21 days)  See <a href="#">Annex 1</a> for more background information on antibody kinetics.

<sup>a</sup> Serial serum sampling may be used to better understand seroconversion. It is ideal to collect at least one sample within 5-7 days of the suspected infection (symptom onset in most cases) as well as one serum sample between days 14 and 21.

### 3.3 Interpretation of results

The following considerations are needed when interpreting the results of this investigation:

- The region of study globally – was the study performed in the Middle-East region, African region, or Central Asia, where different strains of MERS-CoV may be present?
- The types of HCW included and the biases inherent with the selection of the study population – were all types of HCW included, and how did findings differ by type of HCW? Were any further exclusion criteria implied in the specific study (e.g. an age requirement)?
- Characteristics, including IPC measures at the health facility where the investigation is taking place – what level of facility is this (primary, secondary, tertiary) and what are the resources available at the facility? What is the physical organization of the facility (and in relation to where the MERS-CoV positive patient was admitted) and how might the layout and systems (e.g. airflow, waste management) contribute to transmission? What are the IPC measures in place, how long have they been in place and for which staff are they mandated?
- The timeline of case identification and recruitment of HCW participants – were cases and HCW participants identified and interviewed in a timely manner (within a few days of the confirmed or probable MERS case)? If not, what are the potential limitations to recall (for exposure data, etc.)? Were cases identified at late or early stages in their illness and how might this bias estimates of clinical severity and case fatality?



- The serologic assay used – what are the specificity and sensitivity characteristics of the assay itself?
- The molecular test method used - what are the specificity and sensitivity characteristics of the molecular test used to detect active infection?

**Increasing our understanding of MERS-CoV epidemiology, risk factors and severity.**

The findings of this investigation will increase our global understanding of MERS-CoV transmission risks, risk factors for MERS-CoV transmission among HCW and the spectrum of MERS-CoV disease. These findings will aid in creating local and international policies for preventing MERS-CoV transmission and will inform IPC in the case of eventual outbreaks.

# 4. Dissemination of results





Recruitment method allowing, all participants should be informed of their individual results using the contact information collected as part of the investigation. The facility or facilities in which the investigation is implemented also need to receive a report on the overall findings of the investigation. This should include reporting on the following information:

- (1) The study design and specific procedures used (e.g. sampling method, eligibility criteria, laboratory techniques, etc.);
- (2) The number of study sites and the number of individuals approached and included, the age and sex of all individuals included is also ideally reported if this has been collected;
- (3) The types of HCW included (e.g. clinicians, laboratory staff, cleaners, etc.);
- (4) The IPC measures in place at the health-care facility, and other characteristics of the health-care facility;
- (5) Secondary infection rate –number of HCW persons with any eventual evidence (serological or molecular) of MERS-CoV over total number of contacts. This can be summarized both overall and individually by MERS-CoV positive patient or HCW. A breakdown of the proportion of HCW contacts with either serological or molecular (separately) MERS-CoV test positivity, as well as the raw numbers of individuals with serological or molecular testing evidence of MERS-CoV infection. If sample size permits, these estimates should be reported by your strata of interest (such as type of HCW, age category, etc.);
- (6) Any other key findings, as per the specific study objectives chosen (e.g. risk factors for HCW contact infection, protective factors for infection, serological response details, etc.).

An integrated approach which engages both researchers and stakeholders should be used for conducting dissemination activities in joint efforts by the researchers involved and advisory committee members.

Dissemination activities could include:

- Submitting progress and final research reports to the national Ministry of Health and to WHO.
- Publishing the research findings as preprints and subsequently in peer-reviewed journals and making them available in open access format. The STROBE guidelines for cross-sectional studies should be used for reporting of this study <https://www.equator-network.org/reporting-guidelines/strobe/>
- Organizing meetings/seminars/workshops involving a panel of the research team beside other research experts (from human and animal health) to discuss the research findings and how they may influence public health interventions and policies.
- Developing policy briefs for national human and veterinary health authorities.
- Submitting genome sequence information into international databases.

### Implementation tip

The *timely* dissemination of the results of this study are critical in understanding transmission of the MERS-CoV virus to inform guidance for policy to direct national and international public health responses.

# 5. Composition of study team



This investigation calls for a multi-disciplinary research study team to undertake this study. The composition of the study team will be determined by each country. It is recommended that members from the Ministry of Health, national laboratories and other partners are included in the implementation and interpretation of this investigation. Coordination of investigations and sharing of information in real-time will be needed at both country and global levels. Epidemiologists, modelers, virologists, statisticians, clinicians and public health experts will all be necessary to include in this study that will help define key clinical, epidemiological and virological characteristics of MERS-CoV. Importantly, these specialists should all be included from an early stage to ensure that the study protocol and procedures adhere to best practices; e.g. making sure to include statisticians early on in the design of the study and not only after all data collection has been conducted as this may lead to having data which is not amenable to analysis.

**Implementation tip** A table such as the one below may be useful for designating roles and responsibilities and identifying study partners during the planning stage of this investigation.

**Table 3.** Coordination matrix of roles and responsibilities in Country X

What?	Who?
Overall coordination of the investigation	[Cite institution/ body/person(s)]
Identification of study population	[Cite institution/ body/person(s)]
Input on dromedary camel sampling strategy	[Cite institution/ body/person(s)]
Recruitment, informed consent, enrolment	[Cite institution/ body/person(s)]
Data and sample collection from enrolled participants	[Cite institution/ body/person(s)]
Laboratory testing and storage of samples	[Cite institution/ body/person(s)]
Data and sample collection from dromedary camels enrolled	[Cite institution/ body/person(s)]
Laboratory testing and storage of camel samples	[Cite institution/ body/person(s)]
Analysis of data and reporting	[Cite institution/ body/person(s)]
Data management	[Cite institution/ body/person(s)]
IT management	[Cite institution/ body/person(s)]
Informing participants of their individual results and the results of their camels (if tested) and communication of overall findings of investigation	[Cite institution/ body/person(s)]
[add more roles, as per country context]	[Cite institution/ body/person(s)]

Once a study team is identified, a workshop and training should be conducted to familiarize the team with the objectives and organize the implementation of the study.



# 6. References





1. World Health Organization. Middle East respiratory syndrome: global summary and assessment of risk – 16 November 2022. Available from: <https://iris.who.int/handle/10665/364525>.
2. Islam MM, Khanom H, Farag E, Mim ZT, Naidoo P, Mkhize-Kwitshana ZL, et al. Global patterns of Middle East respiratory syndrome coronavirus (MERS-CoV) prevalence and seroprevalence in camels: A systematic review and meta-analysis. *One Health*. 2023;16:100561.
3. Alraddadi BM, Watson JT, Almarashi A, Abedi GR, Turkistani A, Sadran M, et al. Risk Factors for Primary Middle East Respiratory Syndrome Coronavirus Illness in Humans, Saudi Arabia, 2014. *Emerg Infect Dis*. 2016;22(1):49-55.
4. Madani TA, Azhar EI, Hashem AM. Evidence for camel-to-human transmission of MERS coronavirus. *N Engl J Med*. 2014;371(14):1360.
5. Azhar EI, El-Kafrawy SA, Farraj SA, Hassan AM, Al-Saeed MS, Hashem AM, et al. Evidence for camel-to-human transmission of MERS coronavirus. *N Engl J Med*. 2014;370(26):2499-505.
6. Drosten C, Kellam P, Memish ZA. Evidence for camel-to-human transmission of MERS coronavirus. *N Engl J Med*. 2014;371(14):1359-60.
7. Food and Agricultural Organization of the United Nations. Global technical meeting on MERS-CoV and other emerging zoonotic coronaviruses – meeting summary. 2021. Available from: <https://www.who.int/publications/m/item/global-technical-meeting-on-mers-cov-and-other-emerging-zoonotic-coronaviruses-meeting-summary>
8. Habib AMG, Ali MAE, Zouaoui BR, Taha MAH, Mohammed BS, Saquib N. Clinical outcomes among hospital patients with Middle East respiratory syndrome coronavirus (MERS-CoV) infection. *BMC Infect Dis*. 2019;19(1):870.
9. Kang CK, Song KH, Choe PG, Park WB, Bang JH, Kim ES, et al. Clinical and Epidemiologic Characteristics of Spreaders of Middle East Respiratory Syndrome Coronavirus during the 2015 Outbreak in Korea. *J Korean Med Sci*. 2017;32(5):744-9.
10. Park SH, Kim YS, Jung Y, Choi SY, Cho NH, Jeong HW, et al. Outbreaks of Middle East Respiratory Syndrome in Two Hospitals Initiated by a Single Patient in Daejeon, South Korea. *Infect Chemother*. 2016;48(2):99-107.
11. Ki M. 2015 MERS outbreak in Korea: hospital-to-hospital transmission. *Epidemiol Health*. 2015;37:e2015033.



12. Al-Tawfiq JA, Auwaerter PG. Healthcare-associated infections: the hallmark of Middle East respiratory syndrome coronavirus with review of the literature. *J Hosp Infect.* 2019;101(1):20-9.
13. Hastings DL, Tokars JI, Abdel Aziz IZ, Alkhalidi KZ, Bensadek AT, Alraddadi BM, et al. Outbreak of Middle East Respiratory Syndrome at Tertiary Care Hospital, Jeddah, Saudi Arabia, 2014. *Emerg Infect Dis.* 2016;22(5):794-801.
14. Balkhy HH, Alenazi TH, Alshamrani MM, Baffoe-Bonnie H, Al-Abdely HM, El-Saed A, et al. Notes from the Field: Nosocomial Outbreak of Middle East Respiratory Syndrome in a Large Tertiary Care Hospital--Riyadh, Saudi Arabia, 2015. *MMWR Morb Mortal Wkly Rep.* 2016;65(6):163-4.
15. Kim KH, Tandil TE, Choi JW, Moon JM, Kim MS. Middle East respiratory syndrome coronavirus (MERS-CoV) outbreak in South Korea, 2015: epidemiology, characteristics and public health implications. *J Hosp Infect.* 2017;95(2):207-13.
16. Oboho IK, Tomczyk SM, Al-Asmari AM, Banjar AA, Al-Mugti H, Aloraini MS, et al. 2014 MERS-CoV outbreak in Jeddah – a link to health care facilities. *N Engl J Med.* 2015;372(9):846-54.
17. Guery B, Poissy J, el Mansouf L, Sejourne C, Ettahar N, Lemaire X, et al. Clinical features and viral diagnosis of two cases of infection with Middle East Respiratory Syndrome coronavirus: a report of nosocomial transmission. *Lancet.* 2013;381(9885):2265-72.
18. Health Protection Agency U. K. Novel Coronavirus Investigation team. Evidence of person-to-person transmission within a family cluster of novel coronavirus infections, United Kingdom, February 2013. *Euro Surveill.* 2013;18(11):20427.
19. Omrani AS, Matin MA, Haddad Q, Al-Nakhli D, Memish ZA, Albarrak AM. A family cluster of Middle East Respiratory Syndrome Coronavirus infections related to a likely unrecognized asymptomatic or mild case. *Int J Infect Dis.* 2013;17(9):e668-72.
20. Abroug F, Slim A, Ouanes-Besbes L, Hadj Kacem MA, Dachraoui F, Ouanes I, et al. Family cluster of Middle East respiratory syndrome coronavirus infections, Tunisia, 2013. *Emerg Infect Dis.* 2014;20(9):1527-30.
21. Drosten C, Meyer B, Muller MA, Corman VM, Al-Masri M, Hossain R, et al. Transmission of MERS-coronavirus in household contacts. *N Engl J Med.* 2014;371(9):828-35.
22. Arwady MA, Alraddadi B, Basler C, Azhar EI, Abuelzein E, Sindy AI, et al. Middle East Respiratory Syndrome Coronavirus Transmission in Extended Family, Saudi Arabia, 2014. *Emerg Infect Dis.* 2016;22(8):1395-402.



23. Al Hosani FI, Kim L, Khudhair A, Pham H, Al Mulla M, Al Bandar Z, et al. Serologic Follow-up of Middle East Respiratory Syndrome Coronavirus Cases and Contacts-Abu Dhabi, United Arab Emirates. *Clin Infect Dis*. 2019;68(3):409-18.
24. Alanazi KH, Killerby ME, Biggs HM, Abedi GR, Jokhdar H, Alsharef AA, et al. Scope and extent of healthcare-associated Middle East respiratory syndrome coronavirus transmission during two contemporaneous outbreaks in Riyadh, Saudi Arabia, 2017. *Infect Control Hosp Epidemiol*. 2019;40(1):79-88.
25. Van Kerkhove MD, Alaswad S, Assiri A, Perera R, Peiris M, El Bushra HE, et al. Transmissibility of MERS-CoV Infection in Closed Setting, Riyadh, Saudi Arabia, 2015. *Emerg Infect Dis*. 2019;25(10):1802-9.
26. Memish ZA, Zumla AI, Al-Hakeem RF, Al-Rabeeah AA, Stephens GM. Family cluster of Middle East respiratory syndrome coronavirus infections. *N Engl J Med*. 2013;368(26):2487-94.
27. World Health Organization. WHO MERS Global Summary and Assessment of Risk. 2019. Available from: <https://apps.who.int/iris/handle/10665/326126>
28. Balkhy HH, Alenazi TH, Alshamrani MM, Baffoe-Bonnie H, Arabi Y, Hijazi R, et al. Description of a Hospital Outbreak of Middle East Respiratory Syndrome in a Large Tertiary Care Hospital in Saudi Arabia. *Infect Control Hosp Epidemiol*. 2016;37(10):1147-55.
29. Kim SH, Chang SY, Sung M, Park JH, Bin Kim H, Lee H, et al. Extensive Viable Middle East Respiratory Syndrome (MERS) Coronavirus Contamination in Air and Surrounding Environment in MERS Isolation Wards. *Clin Infect Dis*. 2016;63(3):363-9.
30. Khan RM, Al-Dorzi HM, Al Johani S, Balkhy HH, Alenazi TH, Baharoon S, et al. Middle East respiratory syndrome coronavirus on inanimate surfaces: A risk for health care transmission. *Am J Infect Control*. 2016;44(11):1387-9.
31. Bin SY, Heo JY, Song MS, Lee J, Kim EH, Park SJ, et al. Environmental Contamination and Viral Shedding in MERS Patients During MERS-CoV Outbreak in South Korea. *Clin Infect Dis*. 2016;62(6):755-60.

# 7. Annexes



## Annex 1: Additional information and references

The following information is up-to-date as of September 2023. It is recommended that investigators inquire on the [WHO website](#) or in recent literature online, including any recent systematic reviews on these topics for even further up-to-date information at the time of this protocol's use.

### MERS-CoV antibody kinetics

There is currently a lack of generalizable information on antibody kinetics of MERS-CoV in human patients. One study conducted on 42 MERS-CoV infected patients from the outbreak in the Republic of Korea in 2015 found that although all surviving patients seroconverted, none had antibodies 10 months after infection (1). The study employed the use of molecular testing of high-risk health and care worker contacts and serology, in an attempt to capture acute sub-clinical or asymptomatic infection as well as seroconversion. Another study conducted in the Republic of Korea found that although antibody responses may wane, they remain detectable beyond 12 months in patients with severe illness (2). In a study conducted in Jordan, antibody levels were found to persist and remain detectable for over 34 months in individuals following MERS-CoV infection (3). However, RT-PCR confirmed cases with mild disease failed to seroconvert or developed short-lasting antibody responses. Extensive contact tracing policies recommended by WHO and implemented in Saudi Arabia have identified a substantial number of asymptomatic secondary health-care worker infections (4), however very few of these individuals seroconvert (*personal communication*). These considerations should be accounted for when assessing the ability of the study to capture evidence of seroconversion as a secondary objective of this study.

### References:

1. Ko JH, Muller MA, Seok H, et al. Serologic responses of 42 MERS-coronavirus-infected patients according to the disease severity. *Diagn Microbiol Infect Dis* 2017;89:106-11.
2. Choe PG, Perera R, Park WB, et al. MERS-CoV Antibody Responses 1 Year after Symptom Onset, South Korea, 2015. *Emerg Infect Dis* 2017;23:1079-84.
3. Payne DC, Iblan I, Rha B, Alqasrawi S, Haddadin A, Al Nsour M, et al. Persistence of antibodies against Middle East respiratory syndrome coronavirus. *Emerg Infect Dis*. 2016;22:1824-6.
4. Assiri A, McGeer A, Perl TM, et al. Hospital outbreak of Middle East respiratory syndrome coronavirus. *N Engl J Med* 2013;369:407-16.



## MERS-CoV serological testing

A number of different technical approaches for confirming MERS-CoV infection using serology have been developed. Details of two immunofluorescence assays to detect antibodies to MERS-CoV have been published (1), and these assays, along with a serum neutralization test, were used in a 2 to 3 stage procedure to screen contacts of a case in Germany and determine population seroprevalences in Saudi Arabia (2-5). An assay for detection of MERS-CoV antibodies using protein microarray technology has also been developed and its details published (6,7). Another two-stage approach with a screening test using a recombinant nucleocapsid (N) and spike (S) protein-based indirect enzyme-linked immunosorbent (ELISA), followed by a confirmatory microneutralization has also been described (8). Details of a neutralization test based on retroviral pseudoparticles which also demonstrates high levels of specificity to MERS-CoV have also been published (9). A commercial ELISA assay based on the spike S1 region is available for screening. Positive ELISA results should be confirmed by neutralization assays.

### References:

1. Corman VM, Muller MA, Costabel U, et al. Assays for laboratory confirmation of novel human coronavirus (hCoV-EMC) infections. *Euro Surveill* 2012;17(49):20334
2. Corman VM, Eckerle I, Bleicker T, et al. Detection of a novel human coronavirus by real-time reverse-transcription polymerase chain reaction. *Euro Surveill* 2012;17(39):20285
3. Buchholz U, Muller MA, Nitsche A, et al. Contact investigation of a case of human novel coronavirus infection treated in a German hospital, October-November 2012. *Euro Surveill* 2013;18(8):20406
4. Drosten C, Meyer B, Muller MA, et al. Transmission of MERS-coronavirus in household contacts. *N Engl J Med* 2014;371:828-35.
5. Muller MA, Meyer B, Corman VM, et al. Presence of Middle East respiratory syndrome coronavirus antibodies in Saudi Arabia: a nationwide, cross-sectional, serological study. *Lancet Infect Dis* 2015;15:559-64.
6. Reusken C, Mou H, Godeke GJ, et al. Specific serology for emerging human coronaviruses by protein microarray. *Euro Surveill* 2013;18:20441.
7. Reusken CB, Farag EA, Haagmans BL, et al. Occupational Exposure to Dromedaries and Risk for MERS-CoV Infection, Qatar, 2013-2014. *Emerg Infect Dis* 2015;21:1422-5.
8. Trivedi S, Miao C, Al-Abdallat MM, et al. Inclusion of MERS-spike protein ELISA in algorithm to determine serologic evidence of MERS-CoV infection. *J Med Virol* 2018;90:367-71



9. Perera RA, Wang P, Gomaa MR, et al. Seroepidemiology for MERS coronavirus using microneutralisation and pseudoparticle virus neutralisation assays reveal a high prevalence of antibody in dromedary camels in Egypt, June 2013. *Euro Surveill* 2013;18:pii=20574.

### MERS-CoV molecular testing

Currently described tests are an assay targeting upstream of the E protein gene (upE) and assays targeting the open reading frame 1b (ORF 1b) (1), and the open reading frame 1a (ORF 1a) (2). The assay for the upE target is considered highly sensitive and is recommended for screening, with the ORF 1a assay considered of equal sensitivity. The ORF 1b assay is considered less sensitive than the ORF 1a assay. An alternative approach involving two rRT-PCR assays targeting the MERS-CoV nucleocapsid (N) protein gene, which can complement upE and ORF 1a assays for screening and confirmation has also been published (3).

### References:

1. Corman VM, Eckerle I, Bleicker T, et al. Detection of a novel human coronavirus by real-time reverse-transcription polymerase chain reaction. *Euro Surveill* 2012; 17(39):20285
2. Corman VM, Muller MA, Costabel U, et al. Assays for laboratory confirmation of novel human coronavirus (hCoV-EMC) infections. *Euro Surveill* 2012;17(49): 20334
3. Lu X, Whitaker B, Sakthivel SK, et al. Real-time reverse transcription-PCR assay panel for Middle East respiratory syndrome coronavirus. *J Clin Microbiol* 2014;52:67-75.



## Annex 2: Questionnaires



### Questionnaire 1: Identification of possible exposures to MERS-CoV in a health-care facility

This questionnaire has been designed to give a better understanding of the potential exposures to MERS-CoV and existing infection prevention and control (IPC) practices in a health-care facility as soon as a patient with MERS-CoV is identified there.

The questions below will help to formulate hypotheses about exposures which will inform the questionnaire administered to all HCW eligible for participation in the study, and they will also provide an evaluation of the health-care facility’s general preparedness for managing cases of MERS-CoV.

This questionnaire should be completed by members of the health-care facility’s administration and IPC team before the full study is implemented.

**Implementation tip** As part of study implementation, it is important to allocate time and study funds for translation and field-testing of the questionnaires and other data collection tools. Investigators are encouraged to adapt the questionnaires to local contexts to maximize the relevance of the study’s results.

**Unique Case ID and Cluster number (if applicable):**

#### 1. Participant classification and outbreak context

Role in the health-care facility of the personnel completing the questionnaire	
Date of MERS-CoV infection <u>symptom onset</u> in patient receiving treatment in the health-care facility (dd/mm/yyyy)	___/___/___
Date of MERS-CoV infection <u>confirmation</u> in patient receiving treatment in health-care facility (dd/mm/yyyy)	___/___/___

#### 2. Data collector and interview information

Name of data collector	
Data collector institution	
Data collector profession	
Data collector telephone number	
Data collector email	
Place of interview (region, city, further details if applicable)	
Interview start date (dd/mm/yyyy)	___/___/___
Form completion date (dd/mm/yyyy)	___/___/___
Language used for interview	

**Questionnaire 1: Identification of possible exposures to MERS-CoV in a health-care facility** (continued)**3. Infection prevention and control (IPC) at the health-care facility****General structural IPC considerations at the health-care facility**

Does the health-care facility have appropriate water, sanitation and hygiene (WASH) services and materials?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Is alcohol-based hand rub easily available (that is, at the point of care) for hand hygiene within the health-care facility?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Are soap and water available for hand hygiene within the health-care facility?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Are health worker staffing levels adequate for the patient workload?	<input type="checkbox"/> Always, as recommended <input type="checkbox"/> Most of the time <input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely
Does bed occupancy exceed the standard capacity of the health-care facility?	<input type="checkbox"/> Always <input type="checkbox"/> Most of the time <input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely
Does the health-care facility have a well-equipped triage station at the entrance, supported by trained staff? If Yes, is there a triage system in place to detect cases of respiratory pathogen illness early and isolate them?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Are there negative-pressure airborne infection isolation rooms or well-ventilated isolation rooms that are functioning correctly and appropriately monitored for airflow and exhaust handling?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Does the health-care facility have personal protective equipment (PPE)? If Yes, is the PPE available in sufficient quantities? If Yes, is the PPE available of good quality and fit for purpose?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
<b>IPC program at the health care facility</b>	
Does the health-care facility have dedicated IPC program, or team, or focal point?	Tick all that apply: <input type="checkbox"/> IPC program <input type="checkbox"/> IPC team and/or service <input type="checkbox"/> IPC focal point <input type="checkbox"/> IPC training <input type="checkbox"/> None of the above
Does the health-care facility have IPC guidelines for health workers?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Does the health-care facility require personnel to be vaccinated?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, which vaccines? Please specify:
Does the health-care facility conduct regular (at least once a year) hand hygiene audits and provide feedback to health workers?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, date of last hand hygiene audit (dd/mm/yyyy): ____/____/____
Does the health-care facility conduct other IPC audits?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, date of most recent IPC audit (dd/mm/yyyy): ____/____/____
Does the health-care facility have a surveillance system for nosocomial infections in <u>patients</u> ?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown


**Questionnaire 1: Identification of possible exposures to MERS-CoV in a health-care facility** (continued)

Does the health-care facility have a surveillance system for nosocomial infections in <u>health workers</u> ?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Does the health-care facility screen <u>health workers</u> on daily arrival for symptoms of infection?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Are there policies and procedures (i.e. screening and work restrictions) for HCW with respiratory symptoms (regardless of MERS-CoV exposure)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Is IPC education and training provided to HCW? If Yes, for whom is training provided?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> <b>All</b> HCW at facility <input type="checkbox"/> HCW doing clinical care only <input type="checkbox"/> HCW with direct (e.g. clinical care) and indirect (e.g. specimens and/or waste) exposure <input type="checkbox"/> Other, specify:
If Yes, does training include respiratory pathogen exposure scenarios?	<input type="checkbox"/> Yes (all HCW) <input type="checkbox"/> Yes (some HCW) <input type="checkbox"/> No
If Yes, how often does training occur?*	<input type="checkbox"/> Unknown
	<input type="checkbox"/> On employment <input type="checkbox"/> Every year <input type="checkbox"/> As needed
*If frequency differs by HCW type, provide details separately	
<b>MERS-CoV-specific IPC procedures</b>	
Are patients with suspected MERS-CoV infection isolated upon arrival at the health-care facility?	<input type="checkbox"/> Always <input type="checkbox"/> Most of the time <input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely <input type="checkbox"/> Unknown
Is a medical mask fitted to patients with suspected MERS-CoV infection upon arrival in the health-care facility?	<input type="checkbox"/> Always <input type="checkbox"/> Most of the time <input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely <input type="checkbox"/> Unknown
Does the health-care facility management alert all health workers if a MERS-CoV infected patient is being cared for within the health-care facility?	<input type="checkbox"/> Always <input type="checkbox"/> In most situations <input type="checkbox"/> Sometimes we are not alerted on time <input type="checkbox"/> Rarely alerted on time
Are there specific IPC procedures for laboratory submission of specimens for MERS-CoV testing?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Are there procedures for cleaning the room of a patient with MERS-CoV infection?	<input type="checkbox"/> Yes (if confirmed) <input type="checkbox"/> Yes (since the time infection is suspected) <input type="checkbox"/> No <input type="checkbox"/> Unknown
Are there policies and procedures (i.e. screening, work restrictions) for health and care worker (HCW) exposed to MERS-CoV?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Historically (since 2012) are any HCW known to have been infected with MERS-CoV while working at the health-care facility?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Since the time that the current MERS-CoV patient was identified, are any HCW known to have been infected while working at the health-care facility?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
What further and/or increased IPC actions were taken since the time that the current MERS-CoV patient was identified? (tick all that apply)	<input type="checkbox"/> None <input type="checkbox"/> IPC information provided to HCW <input type="checkbox"/> Further IPC training provided <input type="checkbox"/> Other, specify:

**Questionnaire 1: Identification of possible exposures to MERS-CoV in a health-care facility** (continued)**4. End of questionnaire and status of form completion**

Is participant ok with being contacted again with further questions or clarifications

Yes  No

Form completed

Yes  No or partially

If No or partially, reason:

Missed

Not attempted

Not performed

Refusal

Other, specify:



## Questionnaire 2: Identification of potentially exposed health and care workers (HCW)

The following table should be completed to identify and trace participation of all personnel working in the health-care facility who have potentially been exposed to a MERS-CoV infected patient during all or part of the visit and/or hospitalization of the patient, or to the patient's materials (e.g. biological samples, soiled garments or potentially contaminated areas of the health-care facility). The time period of interest for this contact is from the time of the patient's illness onset and until 14 days after the end of illness onset. Identification of HCW should involve discussion with health facility authorities, consultation of duty rosters and interviewing personnel.

All personnel identified to have had any contact with the patient or their materials within that window of time should be included in the table below and invited to participate in the study. This form contains personally identifying information and should be kept securely and separately from study questionnaires. Lines should be added and modified as needed as per each specific studies scope and local context.

**Implementation tip** As part of study implementation, it is important to allocate time and study funds for translation and field-testing of the questionnaires and other data collection tools. Investigators are encouraged to adapt the questionnaires to local contexts to maximize the relevance of the study's results.

Contact ID	Initials	Age	Sex M or F	Role in health-care facility	Type of contact with MERS patient and/or materials	Location of contact with MERS patient and/or materials	Date of first questionnaire administration	Date of first specimen collection	Symptoms at 1 <sup>st</sup> specimen collection (Y or N)	Date(s) of eventual symptom onset (if any)*	Date of final specimen collection
<b>Doctors, nurses, dietitians, physical therapists, social workers, nursing assistants, medical orderly, hospital attendants</b>											
<b>Technicians, laboratory personnel, research staff, administrative clerks (in emergency room, intensive care unit etc.)</b>											
<b>Hospital cleaning staff, laundry staff, catering staff, security staff</b>											

\* as nasopharyngeal and oropharyngeal swabs need to be taken the same day as symptom onset, these dates should also be considered as dates of subsequent sampling



### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient

This questionnaire has been designed to gather information about the frequency and patterns of contact of HCW participating in this study, to the MERS-CoV infected patient and/or the patient's materials (e.g. biological samples, soiled garments or potentially contaminated areas of the health-care facility). The purpose of this is not to point out fault with HCW or procedures, but rather will allow health authorities and public health researchers to better understand potential exposures that may lead to infection of HCW and to develop hypotheses to test in subsequent studies.

*Note:* the questionnaire's first page should be kept securely and separately from the rest of the questionnaire

**Implementation tip** As part of study implementation, it is important to allocate time and study funds for translation and field-testing of the questionnaires and other data collection tools. Investigators are encouraged to adapt the questionnaires to local contexts to maximize the relevance of the study's results.

**Unique Participant ID and Cluster number (if applicable):**

**Date of admission or first visit to facility of MERS patient**

(as a reminder for exposure time period of interest for interviewer or interviewee), dd/mm/yyyy

#### 1. Data collector and interview information

Name of data collector	
Data collector institution	
Data collector profession	
Data collector telephone number	
Data collector email	
Place of interview (region, city, further details if applicable)	
Interview start date (dd/mm/yyyy)	___/___/___
Form completion date (dd/mm/yyyy)	___/___/___
Language used for interview	

#### 2. Participant personally identifying information

*(Note: personally identifying data should be stored securely and separately from other parts of this form)*

First name	
Family name	
Date of birth (dd/mm/yyyy)	___/___/___ <input type="checkbox"/> Unknown
Address (if multiple residences, give addresses for all)	
Telephone (mobile) number	
Email	
National identifier or social number [optional]	
Responsible health centre, if applicable (name, address, contact information):	

### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

#### 3. Participant demographic information and role within health-care facility

Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Not known <input type="checkbox"/> Prefer not to answer
Age (years, months)	____ years ____ months <input type="checkbox"/> Unknown
Nationality	
Ethnicity [optional, at discretion of study investigators. If using, please input checkbox style options with relevant ethnicities in the right-hand column]	
Country of residence	
Highest level of education <i>finished</i>	<input type="checkbox"/> None or not finished primary school <input type="checkbox"/> Primary school (approximately 6 years) <input type="checkbox"/> Secondary school (total of approximately 12 years) <input type="checkbox"/> College or university undergraduate degree or postsecondary diploma <input type="checkbox"/> Graduate studies (e.g. Masters, PhD)
Does the participant live in a shared living facility (e.g. dormitory) with other HCW?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, provide general address information for living facility (e.g. building block number, etc)  If Yes, are there camels present in or close to the living facility? <input type="checkbox"/> Yes <input type="checkbox"/> No

#### 4. Participant current symptoms (today) and recent history of symptoms (last 14 days)

Are you sick today with fever or respiratory symptoms?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	
Did you experience any fever or respiratory signs or symptoms during the last 14 days	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	
If Yes, did you seek medical care?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, specify location (address):	
If Yes, were you hospitalized during your illness?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, when? (DD/MM/YYYY): ____/____/____ If Yes, which hospital (address):	
<b>If you answered yes to either of the first two questions, please indicate which symptoms.</b>	<b>Today</b>	<b>Last 14 days</b>
Fever	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Dry cough	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Productive cough	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Phlegm	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Sore throat	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Runny nose	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Shortness of breath	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Chest pain	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Chills	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Vomiting	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Nausea	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown

**Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient** (continued)

Diarrhoea	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Headache	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Rash	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Conjunctivitis	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Muscle aches	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Joint ache	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Loss of appetite	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Loss of smell (anosmia) or taste	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Fatigue	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Seizures	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Altered consciousness	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Other neurological signs	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, specify:
Other symptoms	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, specify:

**5. Participant medical history****5a. Pre-existing conditions, chronic illnesses, recent pregnancy**

Obesity	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Cancer If Yes, specify (timing and specific cancer): If cancer treatment in the last year:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown  <input type="checkbox"/> Chemotherapy <input type="checkbox"/> Radiation <input type="checkbox"/> Other, specify:
Diabetes If Yes, do you use insulin?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
HIV/other immune deficiency If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Heart disease If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Asthma (requiring medication) Which medication has been used for treatment of asthma in the past month?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown  <input type="checkbox"/> Handheld inhalers <input type="checkbox"/> Oral medications to open airways <input type="checkbox"/> Oral steroids <input type="checkbox"/> Home nebulizer treatment to open airways <input type="checkbox"/> None in the past month <input type="checkbox"/> Other, specify:





### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

Chronic lung disease (non-asthma) If Yes, specify:  Specify any medication used for treatment:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Chronic liver disease If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Chronic hematological disorder If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Chronic kidney disease If Yes, are you currently receiving dialysis:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Chronic neurological impairment or disease If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Organ or bone marrow recipient	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Pregnancy	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, specify number of weeks:
Recent pregnancy – if female and not currently pregnant, was the participant pregnant in the last 6 months?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Familial hereditary illness If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Other pre-existing condition(s) If Yes, specify:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown

#### 5b. Other medical history

Participant currently smokes tobacco (e.g. cigarettes, cigars, shisha) If participant currently smokes tobacco, do they share their tobacco (e.g. shisha)  If participant does not currently smoke tobacco daily, have they smoked tobacco daily in the past?  If participant smoked tobacco in the past (but not currently), at what frequency was it?	<input type="checkbox"/> Daily <input type="checkbox"/> A few days a week <input type="checkbox"/> Not at all <input type="checkbox"/> Unknown  <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable (does not smoke)  <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable (currently smokes daily)  <input type="checkbox"/> Daily <input type="checkbox"/> A few days a week <input type="checkbox"/> Unknown
Participant takes medications regularly (within the last 6 months) If Yes, taking corticosteroids: If Yes, list medications:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown  <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Participant has seen a traditional healer in the last 6 months	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Participant has taken traditional medications within the last 6 months	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> If Yes, list traditional medications:

**Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient** (continued)**6. Participant role within health-care facility and infection prevention and control (IPC)**

Role within health-care facility (listed alphabetically)

- Administrative  
 Catering  
 Dietician or Nutritionist  
 Doctor  
 Hospital cleaning (note: laundry is a separate option)  
 Laboratory personnel  
 Laundry  
 Medical orderly or hospital assistant  
 Nurse  
 Nurse assistant  
 Research staff  
 Security  
 Social worker  
 Technician, specify type:  
 Therapist, specify type:  
 Other, specify:

**Infection prevention and control (IPC) training**

For how long have you been working at this health-care facility?

\_\_\_\_years \_\_\_\_ months

Have you ever undertaken infection prevention and control (IPC) training as part of your job at this health-care facility?

- Yes  No  Unknown

If Yes, how frequently have you participated in this training?

- Once (prior to beginning job)  
 Once (after beginning job)  
 Multiple times, at regular intervals (e.g. yearly), if selecting, specify frequency: \_\_\_\_\_  
 Multiple times, at irregular intervals (e.g. updates to protocols or for other reasons), if Yes, specify approximately how many times you have participated in a training: \_\_\_\_\_

When was the last time (i.e. how many years and months ago) you completed an IPC training at this facility?

\_\_\_\_years \_\_\_\_ months

Cumulatively you have received how much IPC training?

- ≤2 hours  >2 hours

If you've ever participated in an IPC training at this facility, please indicate components you remember being included

- [Input topic 1]  
 [Input topic 2]  
 [Input topic 3]  
 [Input topic 4]  
 [Input topic 5]  
 [Input other topics as relevant]

Comment: here, topics of relevance should be input as per updated IPC standards, local context and initial interview with health-care facility (i.e. info from Questionnaire 1 and other interviews with health-care facility management)

### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

<p>If you've ever participated in an IPC training at this facility, has it included aspects and/or a module of respiratory pathogen infection prevention?</p> <p>If Yes, how frequently have you participated in this respiratory pathogen specific training?</p> <p>When was the last time (i.e. how many years and months ago) you completed a module of respiratory pathogen IPC training at this facility?</p> <p>If you've ever participated in a respiratory pathogen-specific IPC training at this facility, please indicate components:</p> <p><small>Comment: here, topics of relevance should be input as per updated respiratory pathogen IPC standards, local context and initial interview with health-care facility (i.e. info from Questionnaire 1 and interviews with facility management)</small></p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable</p> <p><input type="checkbox"/> Once (prior to beginning job)</p> <p><input type="checkbox"/> Once (after beginning job)</p> <p><input type="checkbox"/> Multiple times, at regular intervals (e.g. yearly), if selecting, specify frequency: _____</p> <p><input type="checkbox"/> Multiple times, at irregular intervals (e.g. updates to protocols or for other reasons), if Yes, specify approximately how many times you have participated in a training: _____</p> <p>____years ____ months</p> <p><input type="checkbox"/> [Input topic 1]</p> <p><input type="checkbox"/> [Input topic 2]</p> <p><input type="checkbox"/> [Input topic 3]</p> <p><input type="checkbox"/> [Input topic 4]</p> <p><input type="checkbox"/> [Input topic 5]</p> <p><input type="checkbox"/> [Input other topics as relevant]</p>
<p><small>Comment: Add in other relevant IPC training questions here as per local context and interviews with health-care facility management</small></p>	<p>Input answer options</p>
<p><small>Comment: Add in other relevant IPC training questions here as per local context and interviews with health-care facility management</small></p>	<p>Input answer options</p>
<p><small>Comment: Add in other relevant IPC training questions here as per local context and interviews with health-care facility management</small></p>	<p>Input answer options</p>
<p><b>IPC adherence general</b></p>	
<p>Do you follow recommended hand hygiene practices?</p>	<p><input type="checkbox"/> Always, as recommended <input type="checkbox"/> Most of the time</p> <p><input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely</p>
<p>Do you use alcohol-based hand rub or soap and water:</p> <p><i>before touching a patient?</i></p> <p><i>before cleaning and/or aseptic procedures?</i></p> <p><i>after (risk of) body fluid exposure?</i></p> <p><i>after touching a patient?</i></p> <p><i>after touching a patient's surroundings?</i></p>	<p><input type="checkbox"/> Always, as recommended <input type="checkbox"/> Most of the time</p> <p><input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Always, as recommended <input type="checkbox"/> Most of the time</p> <p><input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Always, as recommended <input type="checkbox"/> Most of the time</p> <p><input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Always, as recommended <input type="checkbox"/> Most of the time</p> <p><input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely</p>
<p>Do you wear personal protective equipment (PPE) when indicated?</p> <p><small>(PPE includes: medical mask, face shield, gloves, goggles or glasses, gown, coverall, head cover, respirator (for example, N95 or equivalent) and shoe covers)</small></p>	<p><input type="checkbox"/> Always, according to the risk assessment</p> <p><input type="checkbox"/> Most of the time, according to the risk assessment</p> <p><input type="checkbox"/> Occasionally</p> <p><input type="checkbox"/> Rarely</p>

**Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient** (continued)

Do you follow IPC standard precautions when in contact with any patient?	<input type="checkbox"/> Always, as recommended <input type="checkbox"/> Most of the time <input type="checkbox"/> Occasionally <input type="checkbox"/> Rarely <input type="checkbox"/> I don't know what IPC standard precautions are
Is PPE available typically available in sufficient quantity in the health-care facility?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown

**7. Travel and other possible MERS-CoV exposures in the last 6 months**

<p>Participant travelled domestically within the last 6 months If Yes, dates of travel and locations (list all, add extra entries as needed)</p> <p>Attended mass gathering (wedding, festival, religious pilgrimage) at this location?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown D1.    Dates of travel (dd/mm/yyyy): ____/____/____ to ____/____/____ Region(s) and cities visited:  D1.    Dates of travel (dd/mm/yyyy): ____/____/____ to ____/____/____ Region(s) and cities visited:  D1.    Dates of travel (dd/mm/yyyy): ____/____/____ to ____/____/____ Region(s) and cities visited:  <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, specify event(s) type & location(s):
<p>Participant travelled <i>internationally</i> within the last 6 months</p> <p>Attended mass gathering (wedding, festival, religious pilgrimage) at any of these locations?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Int1.    Dates of travel (dd/mm/yyyy): ____/____/____ to ____/____/____ Country(s) and cities visited:  Int2.    Dates of travel (dd/mm/yyyy): ____/____/____ to ____/____/____ Country(s) and cities visited:  Int3.    Dates of travel (dd/mm/yyyy): ____/____/____ to ____/____/____ Country(s) and cities visited:  <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown If Yes, specify event(s) type & location(s):



### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

#### While traveling (either domestically or internationally), did you visit any of the following venues?

Tick all venues visited that apply:	Location of venue (detail town and country)	Animals present at venue and contact type	Did you have direct contact with any animal carcasses, body fluids, secretions, urine, or excrement at this venue?
<input type="checkbox"/> Farm with animals		<input type="checkbox"/> Camel, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Goat, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Sheep, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Pig, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Cattle, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Horse, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
<input type="checkbox"/> Animal market		<input type="checkbox"/> Camel, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Goat, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Sheep, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Pig, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Cattle, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Horse, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
<input type="checkbox"/> Slaughterhouse		<input type="checkbox"/> Camel, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Goat, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Sheep, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Pig, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Cattle, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Horse, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
<input type="checkbox"/> Camel quarantine site		<input type="checkbox"/> Camel, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Goat, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Sheep, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Pig, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Cattle, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Horse, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown



### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

Tick all venues visited that apply:	Location of venue (detail town and country)	Animals present at venue and contact type	Did you have direct contact with any animal carcasses, body fluids, secretions, urine, or excrement at this venue?
<input type="checkbox"/> Camel racetrack		<input type="checkbox"/> Camel, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Goat, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Sheep, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Pig, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Cattle, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Horse, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
<input type="checkbox"/> Camel beauty pageant		<input type="checkbox"/> Camel, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Goat, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Sheep, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Pig, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Cattle, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Horse, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
<input type="checkbox"/> Other event involving camels		<input type="checkbox"/> Camel, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Goat, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Sheep, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Pig, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Cattle, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact <input type="checkbox"/> Horse, if Yes: <input type="checkbox"/> Direct contact <input type="checkbox"/> Indirect contact	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Participant visited anyone in the hospital in the last 6 months If Yes. Was the person sick with respiratory (cough, breathing problems)? If Yes, at what hospital (regions, city, district) If Yes, what was your relationship to the person in the hospital?		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown  <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown  <input type="checkbox"/> Close family <input type="checkbox"/> Extended family <input type="checkbox"/> Friend <input type="checkbox"/> Other, specify below:	

### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

#### 8. Dromedary camel exposures in or around the home

Participant has had any dromedary camels in or around their home in the last 6 months? If Yes, number of camels  If Yes, what are the camels used for  If Yes, do you have direct contact (i.e. touch) with these camels? If Yes, has there been any illness affected these camels in the last 6 months?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown  <input type="checkbox"/> None <input type="checkbox"/> < 10 animals <input type="checkbox"/> ≥ 10 animals  <input type="checkbox"/> Income <input type="checkbox"/> Food <input type="checkbox"/> Work <input type="checkbox"/> Racing <input type="checkbox"/> Pets <input type="checkbox"/> Other: specify  <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown  <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
In the last 6 months, did you have any contact with any carcasses, body fluids, secretions, urine or excrement of dromedary camels in or around your home?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
In the last 6 months, did you have any contact with any dromedary camel bedding, stray of feed in or around your home?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
At your home, in the last 6 months did you do any of the following activities with <b>dromedary camels</b> :	Feed them – <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Clean their housing – <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Clean camel-farm equipment – <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Slaughter them – <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Assist with their birth – <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Milk them – <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Kiss and/or hug them – <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Other tasks – <input type="checkbox"/> Yes (specify below) <input type="checkbox"/> No <input type="checkbox"/> Unknown
Other members of the participant's household (e.g. relatives or domestic help) frequently have had direct contact with dromedary camels	<input type="checkbox"/> Yes, in the last 6 months <input type="checkbox"/> Yes, in the last 14 days <input type="checkbox"/> No <input type="checkbox"/> Unknown
Other members of the participant's household (e.g. relatives or domestic help) frequently visit or work on a camel farm, market, or other venue where dromedary camels are kept or sold?	<input type="checkbox"/> Yes, in the last 6 months <input type="checkbox"/> Yes, in the last 14 days <input type="checkbox"/> No <input type="checkbox"/> Unknown

### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

#### 9. Food or medicinal exposures to dromedary camels

Participant uses camel products for medicinal purposes

Yes  No  Unknown

If Yes, which products:

Camel milk (to drink)

Camel urine (to drink)

Medication (e.g. pills, poultice) containing camel products

Other, specify: \_\_\_\_\_

If Yes, describe further details of use

(e.g. method of ingestion or use, illness being treated):

In the last 6 months, select the frequency at which you consumed the following:

	Daily	At least once per week	Less than once a week but more than once a month	Less than once per month but several times in the last 6 months	Never	Unknown
Unpasteurized (raw) camel milk						
Boiled camel milk						
Camel urine						
Raw camel meat						
Cooked camel meat						
Other camel products (specify)						
Loss of smell (anosmia) or taste						

#### 10. Exposures to the MERS-CoV infected patient since their admission

Note to interviewer: remind the HCW on which day the patient was admitted (see first page of questionnaire)

10a. Participant had close contact with the patient (within 1 metre)

Yes  No  Unknown

↓ If NO close contact with the patient themselves, proceed directly to section 10b.

How many times (total) have you had close contact?

\_\_\_\_\_ times

For approximately (on average) how long did you have close contact on each occasion?

< 5 minutes

5–15 minutes

> 15 minutes

Did you have prolonged face-to-face exposure (> 15 minutes)?

Yes  No  Unknown

If Yes, did you wear PPE?

Yes  No  Unknown

If Yes to PPE during face-to-face exposure, what type?

Tick all that apply:

Medical or surgical mask, specify type: \_\_\_\_\_

Respirator (for example, FFP2 or N95 masks or equivalent), specify type: \_\_\_\_\_

Face shield

Gloves

Goggles or glasses

Gown

Coverall

Head cover

Shoe covers





### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

<p>Did you perform hand hygiene <u>before</u> contact with the patient?</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>Did you perform hand hygiene <u>after</u> contact with the patient?</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>Were you present for any aerosolizing procedures performed on the patient?</p> <p>If Yes, describe the procedure:</p> <p>If Yes, did you wear PPE</p> <p>If Yes to PPE during aerosolizing procedure, what type?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>Tick all that apply:  <input type="checkbox"/> Medical or surgical mask, specify type: _____  <input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____  <input type="checkbox"/> Face shield  <input type="checkbox"/> Gloves  <input type="checkbox"/> Goggles or glasses  <input type="checkbox"/> Gown  <input type="checkbox"/> Coverall  <input type="checkbox"/> Head cover  <input type="checkbox"/> Shoe covers</p>
<p>Did you come into contact with the patient's body fluids?</p> <p>If Yes, which body fluids:</p> <p>If Yes, were you wearing PPE at the time</p> <p>If Yes to PPE during contact with body fluids, what type?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>Tick all that apply:  <input type="checkbox"/> Medical or surgical mask, specify type: _____  <input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____  <input type="checkbox"/> Face shield  <input type="checkbox"/> Gloves  <input type="checkbox"/> Goggles or glasses  <input type="checkbox"/> Gown  <input type="checkbox"/> Coverall  <input type="checkbox"/> Head cover  <input type="checkbox"/> Shoe covers</p>
<p>If you were wearing gloves during close contact, did you remove them after contact with the patient?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Don't remember</p>

**Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient** (continued)

<p><b>10b. Participant had direct contact with the patient’s materials</b></p> <p>Patient’s materials: personal belongings, linen and medical equipment that the patient may have had contact with</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown                  ↓ If <b>NO</b> contact with the patient materials, proceed directly to section 10c.</p>
<p>Which materials did you have direct contact with?</p> <p>How many times have you had contact with patient materials since their admission (total)?</p>	<p>Tick all that apply:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Clothes</li> <li><input type="checkbox"/> Personal items</li> <li><input type="checkbox"/> Linen</li> <li><input type="checkbox"/> Medical devices used on the patient</li> <li><input type="checkbox"/> Medical equipment connected to the patient (ventilator, infusion pump etc.)</li> <li><input type="checkbox"/> Other:</li> </ul> <p>_____ times</p>
<p>Did you come into contact with the patient’s body fluids via the patient’s materials?                  If Yes, which body fluids:</p> <p>If Yes, were you wearing PPE at the time</p> <p>If Yes to PPE during contact with body fluids, what type?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>Tick all that apply:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Medical or surgical mask, specify type: _____</li> <li><input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____</li> <li><input type="checkbox"/> Face shield</li> <li><input type="checkbox"/> Gloves</li> <li><input type="checkbox"/> Goggles or glasses</li> <li><input type="checkbox"/> Gown</li> <li><input type="checkbox"/> Coverall</li> <li><input type="checkbox"/> Head cover</li> <li><input type="checkbox"/> Shoe covers</li> </ul>
<p>Did you perform hand hygiene <u>before</u> contact with the patient materials</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>Did you perform hand hygiene <u>after</u> contact with the patient materials</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>If you were wearing gloves during contact with patient materials, did you remove them after contact with the patient’s materials?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Don’t remember</p>



### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

<p><b>10c. Participant had direct contact with the surfaces around the patient</b></p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown          ↓ If <b>NO</b> contact with the surfaces around the patient, proceed directly to section 11.</p>
<p>Which surfaces have you had contact with?</p> <p>How many times since the patient's admission have you had contact with their surfaces (total)?</p>	<p>Tick all that apply:</p> <p><input type="checkbox"/> Bed  <input type="checkbox"/> Bathroom  <input type="checkbox"/> Ward corridor  <input type="checkbox"/> Patient table  <input type="checkbox"/> Bedside table  <input type="checkbox"/> Dining table  <input type="checkbox"/> Medical gas panel  <input type="checkbox"/> Other:</p> <p>_____ times</p>
<p>Did you come into contact with the patient's body fluids via the patient surfaces you had contact with?</p> <p>If Yes, which body fluids:</p> <p>If Yes, were you wearing PPE at the time</p> <p>If Yes to PPE during contact with body fluids, what type?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>Tick all that apply:</p> <p><input type="checkbox"/> Medical or surgical mask, specify type: _____  <input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____  <input type="checkbox"/> Face shield  <input type="checkbox"/> Gloves  <input type="checkbox"/> Goggles or glasses  <input type="checkbox"/> Gown  <input type="checkbox"/> Coverall  <input type="checkbox"/> Head cover  <input type="checkbox"/> Shoe covers</p>
<p>Did you perform hand hygiene <u>before</u> contact with the patient surfaces?</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>Did you perform hand hygiene <u>after</u> contact with the patient surfaces?</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>If you were wearing gloves during contact with patient surfaces, did you remove them after contact with the patient's surfaces?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Don't remember</p>



**Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient** (continued)

**11a. Molecular testing methods and results:**

Complete a new line for each specimen collected and each type of test conducted:

Laboratory identification number	Date sample collected (dd/mm/yyyy)	Date sample received (dd/mm/yyyy)	Type of sample	Type of test	Result	Result date (dd/mm/yyyy)	Specimens shipped to other laboratory for confirmation
	___/___/___	___/___/___	<input type="checkbox"/> Nasal swab <input type="checkbox"/> Throat swab <input type="checkbox"/> Nasopharyngeal swab <input type="checkbox"/> Other, specify:	<input type="checkbox"/> Polymerase chain reaction (PCR) <input type="checkbox"/> Whole genome sequencing <input type="checkbox"/> Partial genome sequencing <input type="checkbox"/> Other, specify	<input type="checkbox"/> positive for MERS-CoV  <input type="checkbox"/> negative for MERS-CoV  <input type="checkbox"/> inconclusive  <input type="checkbox"/> positive for other pathogens Please specify which pathogens:  Results of phylogenetic analysis: _____ _____ _____	___/___/___	<input type="checkbox"/> No <input type="checkbox"/> Yes If Yes, specify date ___/___/___ If Yes, name of the laboratory: _____ _____ <hr/> <b>Genomic sequencing</b> <input type="checkbox"/> No <input type="checkbox"/> Yes (locally) <input type="checkbox"/> Yes (shipped to external laboratory) If Yes to shipped externally, specify date ___/___/___  If Yes to shipped externally name of the laboratory: _____ _____


**Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient** (continued)

**11b. Serology testing methods and results:**

Complete a new line for each specimen collected and each type of test conducted:

Laboratory identification number	Date sample collected (dd/mm/yyyy)	Date sample received (dd/mm/yyyy)	Type of sample	Type of test	Result (MERS-CoV antibody titres)	Result date (dd/mm/yyyy)	Specimens shipped to other laboratory for confirmation
	___/___/___	___/___/___	<input type="checkbox"/> Serum <input type="checkbox"/> Other, specify:	Specify type (enzyme linked immunosorbent assay – ELISA, indirect fluorescent antibody assay – IFA, neutralization assay, etc.):	<input type="checkbox"/> positive If positive, titre:  <input type="checkbox"/> negative  <input type="checkbox"/> inconclusive	___/___/___	<input type="checkbox"/> Yes If Yes, specify date ___/___/___ If Yes, name of the laboratory: ___  <input type="checkbox"/> No



### Questionnaire 3: Frequency and pattern of exposure of health and care workers (HCW) to a MERS-CoV infected patient (continued)

#### 12. End of questionnaire and status of form completion

Is participant ok with being contacted again with further questions or clarifications

Yes  No

Form completed

Yes  No or partially

If No or partially, reason:

Missed

Not attempted

Not performed

Refusal

Other, specify:



**Questionnaire 4: Symptom diary for health and care worker (HCW) contacts of confirmed or probable MERS cases (Day 1 – 21)** (continued)

Day	Symptoms*							
	No symptoms (check if none experienced)	Fever ≥38 °C	Cough (dry or productive)	Sore throat	Runny Nose	Shortness of breath	Chest pain	Other symptoms: specify
9	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
10	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
11	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
12	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
13	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
14	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
15	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
16	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
17	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
18	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
19	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
20	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
21	<input type="checkbox"/> None	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

*Add lines as needed*

\* Please select None for No symptoms. If no symptoms are experienced on that day, then consider the entry for that day complete.





## Questionnaire 5: HCW exposures to the confirmed and probable MERS case since the time of enrolment

This questionnaire is meant to capture types of exposures with the MERS case in the health-care facility as well as PPE use during the time-period from enrolment until the participants last direct or indirect contact with probable or confirmed MERS case.

**Implementation tip** As part of study implementation, it is important to allocate time and study funds for translation and field-testing of the questionnaires and other data collection tools. Investigators are encouraged to adapt the questionnaires to local contexts to maximize the relevance of the study's results.

Unique Participant ID and Cluster number (if applicable):

### 1. Data collector and interview information

Name of data collector	
Data collector institution	
Data collector profession	
Data collector telephone number	
Data collector email	
Place of interview (region, city, further details if applicable)	
Interview start date (dd/mm/yyyy)	____/____/____
Form completion date (dd/mm/yyyy)	____/____/____
Language used for interview	

### 2. Exposures to the MERS-CoV infected patient since HCW enrolment or baseline questionnaire

*Note to interviewer: remind the HCW on which day they did the first questionnaire*

<b>A. Participant had close contact with the patient (within 1 meter)</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown ↓ If <b>NO</b> close contact with the patient themselves, proceed directly to section B
How many times (total) have you had close contact?	_____ times
For approximately (on average) how long did you have close contact on each occasion?	<input type="checkbox"/> < 5 minutes <input type="checkbox"/> 5–15 minutes <input type="checkbox"/> > 15 minutes
Did you have prolonged <u>face-to-face exposure</u> (> 15 minutes)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
If Yes, did you wear PPE?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
If Yes to PPE during face-to-face exposure, what type?	Tick all that apply: <input type="checkbox"/> Medical or surgical mask, specify type: _____ <input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____ <input type="checkbox"/> Face shield <input type="checkbox"/> Gloves <input type="checkbox"/> Goggles or glasses <input type="checkbox"/> Gown <input type="checkbox"/> Coverall <input type="checkbox"/> Head cover <input type="checkbox"/> Shoe covers

## Questionnaire 5: HCW exposures to the confirmed and probable MERS case since the time of enrolment (continued)

<p>Did you perform hand hygiene <u>before</u> contact with the patient?</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>Did you perform hand hygiene <u>after</u> contact with the patient?</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>Were you present for any aerosolizing procedures performed on the patient?</p> <p>If Yes, describe the procedure:</p> <p>If Yes, did you wear PPE</p> <p>If Yes to PPE during aerosolizing procedure, what type?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>Tick all that apply:</p> <p><input type="checkbox"/> Medical or surgical mask, specify type: _____  <input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____  <input type="checkbox"/> Face shield  <input type="checkbox"/> Gloves  <input type="checkbox"/> Goggles or glasses  <input type="checkbox"/> Gown  <input type="checkbox"/> Coverall  <input type="checkbox"/> Head cover  <input type="checkbox"/> Shoe covers</p>
<p>Did you come into contact with the patient's body fluids?</p> <p>If Yes, which body fluids:</p> <p>If Yes, were you wearing PPE at the time</p> <p>If Yes to PPE during contact with body fluids, what type?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>Tick all that apply:</p> <p><input type="checkbox"/> Medical or surgical mask, specify type: _____  <input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____  <input type="checkbox"/> Face shield  <input type="checkbox"/> Gloves  <input type="checkbox"/> Goggles or glasses  <input type="checkbox"/> Gown  <input type="checkbox"/> Coverall  <input type="checkbox"/> Head cover  <input type="checkbox"/> Shoe covers</p>
<p>If you were wearing gloves during close contact, did you remove them after contact with the patient?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Don't remember</p>



## Questionnaire 5: HCW exposures to the confirmed and probable MERS case since the time of enrolment (continued)

<p><b>B. Have you had direct contact with the patient's materials?</b></p> <p>Patient's materials: personal belongings, linen and medical equipment that the patient may have had contact with</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>↓ <b>If NO contact with the patient materials, proceed directly to section C.</b></p>
<p>Which materials did you have direct contact with?</p> <p>How many times have you had contact with patient materials since their admission (total)?</p>	<p>Tick all that apply:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Clothes</li> <li><input type="checkbox"/> Personal items</li> <li><input type="checkbox"/> Linen</li> <li><input type="checkbox"/> Medical devices used on the patient</li> <li><input type="checkbox"/> Medical equipment connected to the patient (ventilator, infusion pump etc.)</li> <li><input type="checkbox"/> Other:</li> </ul> <p>_____ times</p>
<p>Did you come into contact with the patient's body fluids via the patient's materials?</p> <p>If Yes, which body fluids:</p> <p>If Yes, were you wearing PPE at the time</p> <p>If Yes to PPE during contact with body fluids, what type?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>Tick all that apply:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Medical or surgical mask, specify type: _____</li> <li><input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____</li> <li><input type="checkbox"/> Face shield</li> <li><input type="checkbox"/> Gloves</li> <li><input type="checkbox"/> Goggles or glasses</li> <li><input type="checkbox"/> Gown</li> <li><input type="checkbox"/> Coverall</li> <li><input type="checkbox"/> Head cover</li> <li><input type="checkbox"/> Shoe covers</li> </ul>
<p>Did you perform hand hygiene <u>before</u> contact with the patient materials</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended</p> <p><input type="checkbox"/> Most of the time</p> <p><input type="checkbox"/> Occasionally</p> <p><input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub</p> <p><input type="checkbox"/> Soap and water</p> <p><input type="checkbox"/> Water</p>
<p>Did you perform hand hygiene <u>after</u> contact with the patient materials</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended</p> <p><input type="checkbox"/> Most of the time</p> <p><input type="checkbox"/> Occasionally</p> <p><input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub</p> <p><input type="checkbox"/> Soap and water</p> <p><input type="checkbox"/> Water</p>
<p>If you were wearing gloves during contact with patient materials, did you remove them after contact with the patient's materials?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Don't remember</p>



## Questionnaire 5: HCW exposures to the confirmed and probable MERS case since the time of enrolment (continued)

<p><b>C. Have you had direct contact with the surfaces around the patient?</b></p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown          ↓ If <b>NO</b> contact with the surfaces around the patient, end of questionnaire.</p>
<p>Which surfaces have you had contact with?</p> <p>How many times since the patient's admission have you had contact with their surfaces (total)?</p>	<p>Tick all that apply:</p> <p><input type="checkbox"/> Bed  <input type="checkbox"/> Bathroom  <input type="checkbox"/> Ward corridor  <input type="checkbox"/> Patient table  <input type="checkbox"/> Bedside table  <input type="checkbox"/> Dining table  <input type="checkbox"/> Medical gas panel  <input type="checkbox"/> Other:          _____ times</p>
<p>Did you come into contact with the patient's body fluids via the patient surfaces you had contact with?          If Yes, which body fluids:</p> <p>If Yes, were you wearing PPE at the time</p> <p>If Yes to PPE during contact with body fluids, what type?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p>Tick all that apply:</p> <p><input type="checkbox"/> Medical or surgical mask, specify type: _____  <input type="checkbox"/> Respirator (for example, FFP2 or N95 masks or equivalent), specify type: _____  <input type="checkbox"/> Face shield  <input type="checkbox"/> Gloves  <input type="checkbox"/> Goggles or glasses  <input type="checkbox"/> Gown  <input type="checkbox"/> Coverall  <input type="checkbox"/> Head cover  <input type="checkbox"/> Shoe covers</p>
<p>Did you perform hand hygiene <u>before</u> contact with the patient surfaces?</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>Did you perform hand hygiene <u>after</u> contact with the patient surfaces?</p> <p>If Yes, using what:</p>	<p><input type="checkbox"/> Always, as recommended  <input type="checkbox"/> Most of the time  <input type="checkbox"/> Occasionally  <input type="checkbox"/> Rarely</p> <p><input type="checkbox"/> Alcohol-based hand rub  <input type="checkbox"/> Soap and water  <input type="checkbox"/> Water</p>
<p>If you were wearing gloves during contact with patient surfaces, did you remove them after contact with the patient's surfaces?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Don't remember</p>



## Questionnaire 5: HCW exposures to the confirmed and probable MERS case since the time of enrolment (continued)

### 3. End of questionnaire and status of form completion

Is participant ok with being contacted again with further questions or clarifications

Yes  No

Form completed

Yes  No or partially

If No or partially, reason:

Missed

Not attempted

Not performed

Refusal

Other, specify:





**Emerging Diseases and Zoonoses Unit**  
**Epidemic and Pandemic Preparedness and Prevention Department**  
20, Avenue Appia  
1211 Geneva 27  
Switzerland  
Email: [MERSHQ@who.int](mailto:MERSHQ@who.int)  
Website: <https://www.who.int/initiatives/mers-cov-investigations-and-studies>

